

08-04-2000

7.24.00



Atty. Docket No.: 1131-0443P

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101423621  
RECORDATION FORM COVER SHEET

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

10794 U.S. P.T.O.  
09/624393  
07/24/00

## 1. Name of conveying party(ies):

**CLARKE, Paul; CHARLTON, John; ATOBE, Ichiro and KOBORINAI, Masanori**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: **April 25, 2000, April 25, June 12, 2000 and June 12, 2000 respectively**

## 2. Name and address of receiving party(ies)

Name: **JAPAN TOBACCO INC.**

Internal Address:

Street Address: **2-1, Toranomom 2-chome, Minato-ku**

City: **Tokyo** State: ZIP:

Country: **Japan** Postal Code:

Additional name(s) & address(es) attached? ☒ Yes ☐ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **April 25, 2000, April 25, 2000, June 12, 2000 and June 12, 2000 respectively**

## A. Patent Application No(s).

**NEW**

## B. Patent No.(s).

09624393

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **BIRCH, STEWART, KOLASCH & BIRCH, LLP**

Street Address: **P.O. BOX 747**

City: **FALLS CHURCH** State: **VA** ZIP: **22040-0747**

Country: **USA**

6. Total No. of applications/patents involved: **One (1)**7. Total fee (37 C.F.R. § 3.41): **\$40.00**

- ☒ Enclosed  
☒ Authorized to be charged to deposit account, if no fee attached.

8. Deposit account number: **02-2448**

(Attach triplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

James M. Slattery, #28,380

Name of Person Signing/Reg. No.

Signature

July 24, 2000

Date

Total number of pages including cover sheet, attachments, and document: **Four (4)**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, DC 20231

JMS /dpt  
(Rev. 01/08/2000)

**PATENT**  
**REEL: 010960 FRAME: 0869**

EQ-0077  
1107 (29) 0877

**BIRCH, STEWART, KOLASCH & BIRCH, LLP**  
**United States Patent Rights, or**  
**United States plus All Foreign Patent Rights**

ATTORNEY DOCKET NO.

1131-0443P

## ASSIGNMENT

Application No. NEW

Filed July 24, 2000

Insert Name(s) of Inventor(s) ➡ WHEREAS, Paul Clarke, John Charlton, Ichiro Atobe and  
Masanori Koborinai

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in \_\_\_\_\_

Insert Title of Invention ➡ FILTER FOR A CIGARETTE AND FILTER CIGARETTE

for which an application for Letters Patent of the United States of America has been executed by the undersigned

Insert Date of Signing of Application ➡ on April 25 (1st and 2nd inventors) and June 12, 2000 (3rd and 4th inventors); and

Insert Name of Assignee ➡ WHEREAS, (1) JAPAN TOBACCO INC. and  
(2) Filtrona International Ltd.

Insert Address of Assignee ➡ of (1) 2-1, Toranomom 2-chome, Minato-ku, Tokyo, Japan and  
(2) 110 Park Street, London W1Y 3RB, United Kingdom

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

CHECK BOX IF APPROPRIATE ➡ ☐ in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

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The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date	<u>25-4-00</u>	Paul Clarke	<u>P. F. Clarke</u>	(signature)
		Name of Inventor		
Date	<u>25-4-00</u>	John Charlton	<u>J. Charlton</u>	(signature)
		Name of Inventor		
Date	<u>12-6-00</u>	Ichiro Atobe	<u>I. Atobe</u>	(signature)
		Name of Inventor		
Date	<u>12-6-00</u>	Masanori Koborinai	<u>M. Koborinai</u>	(signature)
		Name of Inventor		
Date	_____	Name of Inventor	_____	(signature)
Date	_____	Name of Inventor	_____	(signature)