

08-03-2000

FORM PTO-1595

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Patent and Trademark Office

101421836

Attorney Docket No. B96-006-3

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Jasper D. Rine
2. Randolph HamptonMWD
7-3-00Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: December 30, 1996 and January 11, 1997

2. Name and address of receiving party(ies):

Name: The Regents of the University of CaliforniaAddress: 1111 Franklin St., 12th FloorOakland CA 94607-5200Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/229,059, filed on January 11, 1999

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/229,059, filed on January 11, 1999

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard Aron Osman, Ph.D.Address: Science & Technology Law Group75 Denise DriveHillsborough, CA 94010

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00☒ Enclosed☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

19-0750

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Rebecca Graff
Name of Person SigningRebecca Graff
Signature6/29/00
DateTotal number of pages including cover sheet: 2

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PATENT
REEL: 010961 FRAME: 0476

ASSIGNMENT

U.C. Case No. B96-006

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Jasper D. Rine 2. Randolph Hampton
3. _____ 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide offices located at 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

GENES AND PROTEINS CONTROLLING CHOLESTEROL SYNTHESIS

and which is found in

(a) _____ U.S. patent application executed on _____, entitled as above and listing the above named persons as inventors
(b) X U.S. application serial no. 08/699,103, filed on August 16, 1996
(c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letter Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commission of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

✓ 30th day of December, 1996

✓ 11 day of January, 1997

Signature of Inventors

Jasper D. Rine

Randolph Hampton

PEMP-68205.1

PATENT
REEL: 010961 FRAME: 0477

ASSIGNMENT

U.C. Case No. B96-021

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Jasper D. Rine 2. Victor L. Boyartchuk
3. Matthew N. Ashby 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide offices located at 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

AFC1 AND RCE1: ISOPRENYLATED CAAX PROCESSING ENZYMES

and which is found in

- (a) X U.S. patent application executed on July 16 & 22, 1997, entitled as above and listing the above named persons as inventors
(b) _____ U.S. application serial no. _____, filed on _____
(c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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AND the ASSIGNOR(S) requests the Commission of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

✓ 16 day of July, 1997
✓ 16 day of July, 1997
✓ 22 day of July, 1997

Signature of Inventor(s)

Jasper D. Rine
Jasper D. Rine
Victor L. Boyartchuk
Victor L. Boyartchuk
Matthew N. Ashby
Matthew N. Ashby

PEMP-80866.1

PATENT
REEL: 010961 FRAME: 0478

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rine et al.

Serial No. 09/184,964

Filed: November 3, 1998

For: *AFC1 and RCE1: Isoprenylated CAAX
Processing Enzymes*

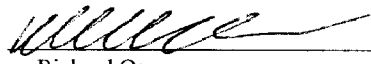
Group Art Unit: 1653

Examiner: Siew, J.

Attorney Docket No. B96-021-5

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231 on June 29, 2000.

Signed 
Richard Osman

TRANSMITTAL


The Assistant Commissioner for Patents
BOX ASSIGNMENTS
Washington D.C. 20231

Dear Commissioner,

Please record the enclosed Assignment and cover sheet for this application.

Thank you.

Respectfully submitted,
SCIENCE & TECHNOLOGY LAW GROUP


Richard Aron Osman, Ph.D., #36,627
Tel: (650)343-4341 Fax: (650) 343-4342

IN THE UNITED STATES PATENT OFFICE

In re application of: Rine et al.

Art Unit: 1652

Serial No.: 09/229,059

Examiner: Saidha, T.

Filing Date: January 11, 1999

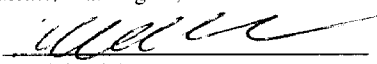
Attorney Docket No. B96-006-3

For: *Genes and Proteins Controlling
Cholesterol Synthesis*

CERTIFICATE OF MAILING

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Signed


Richard Osman

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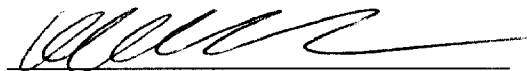
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Assistant Commissioner for Patents
Washington, DC 20231

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Thank you.

Respectfully submitted,
SCIENCE & TECHNOLOGY LAW GROUP



Richard Aron Osman, Ph.D., Reg. No. 36,627
Tel: (650) 343-4341; Fax: (650)343-4342

RECORDATION FORM COVER SHEET
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Attorney Docket No. B96-021-5

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☒ Assignment ☐ Merger
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PATENT
REEL: 010981 FRAME: 0481

RECORDATION FORM COVER SHEET
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Attorney Docket No. B96-021-5

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