

08-07-2000

U.S. Department of Commerce
Patent and Trademark Office

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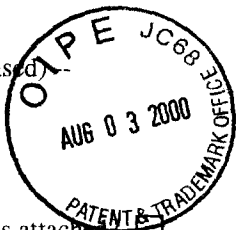
Our Ref.: 839-682

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Raymond Joseph Jones
Francis Lawrence Kirkpatrick (deceased)
Barbara Tomczak, Administrator
James Lee Burns
John Robert Fulton



2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Street Address: One River Road

City: Schenectady

State/Country: New York

Zip: 12345

Additional name/s of conveying party/ies attached: ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other

Execution Date: 4/25/00; 10/21/99; 10/21/99; 10/29/99

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

(1) 09/400,863
(2)
(3)

B. Patent No(s).

(1)
(2)
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard G. Besha

Internal Address:

Street Address: Nixon & Vanderhye P.C.
1100 North Glebe Road
8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) 40E \$ 40.00

☒ PAID (DOC. ID #101358411)

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard G. Besha

Name of Person Signing

Reg. No. 22,770

Richard Besha

Signature

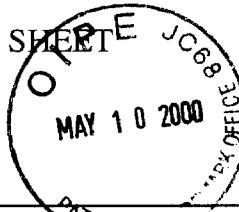
August 3, 2000

Date

Total number of pages including original cover sheet, attachments, and document: [8]

RECORDATION FORM COVER SHEET

PATENTS ONLY



Our Ref.: 839-68

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached ~~original~~ documents or copy thereof.

1. Name of conveying party(ies): **5.11.00**
Raymond Joseph Jones
Francis Lawrence Kirkpatrick (deceased)
James Lee Burns
John Robert Fulton

2. Name and address of receiving party(ies):
Name: General Electric Company
Internal Address: _____
Street Address: One River Road

City: Schenectady
State/Country: New York
Zip: 12345

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other _____

Execution Date: 21/10/99; 29/10/99; 25/4/00

Additional name/s & address/es attached? ☐ Yes ☒ No

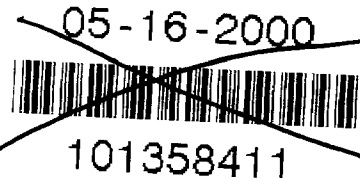
4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).
(1) 09/400,863
(2) _____
(3) _____

B. Patent No(s).
(1) _____
(2) _____
(3) _____

Additional numbers attached ☐ Yes ☒ No



5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard G. Besha
Internal Address: _____
Street Address: Nixon & Vanderhye P.C.
1100 North Glebe Road
8th Floor
City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00
☒ Enclosed
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our **Account No. 14-1140.**

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard G. Besha
Name of Person Signing
Reg. No. 22,770

Richard Besha
Signature

May 10, 2000
Date

Total number of pages including **original** cover sheet, attachments, and document: [6]

05/11/2000 HSNHARA 00000021 09400863

02 FC:561

40.00 00

ASSIGNMENT

WHEREAS, **Francis Lawrence Kirkpatrick (Deceased), of 1274 Route 29, Galway, NY 12074**, has aided in the invention of and improvement to **PYROMETER MOUNT FOR A CLOSED CIRCUIT THERMAL MEDIUM COOLED GAS TURBINE** (*see concurrent Assignment attached, naming co-inventors*) for which a so-entitled application for Letters Patent of the United States was filed in the United States Patent and Trademark Office on **September 21, 1999** under **Serial No. 09/400,863**;

WHEREAS, Barbara Tomczak (hereinafter ASSIGNOR) is the sole administrator of the estate of Francis Lawrence Kirkpatrick and is authorized to act on behalf of the Deceased Francis Lawrence Kirkpatrick;

WHEREAS, General Electric Company (hereinafter ASSIGNEE), a corporation of New York State, having an office and place of business at 1 River Road, Schenectady, New York 12345, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR by these presents hereby sells, assigns, and transfers unto the said ASSIGNEE, its successors, assigns, and legal representatives, the full and exclusive right to the said invention in the United States and all foreign countries, as described in the aforesaid application, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, for the sole use and behoof of ASSIGNEE, its successors, assigns, and legal representatives.

AGREED and executed as noted below:

4/25/00

Date

Barbara Tomczak

Francis Lawrence Kirkpatrick (deceased)
Signature of Administrator
Barbara Tomczak

STATE OF NEW YORK)

) ss:

COUNTY OF ~~SARATOGA~~)
 SCHENECTADY

This 25th day of April, ²⁰⁰⁰~~1999~~, before me personally came the above-named Barbara Tomczak, Administrator for the estate of Francis Lawrence Kirkpatrick (Deceased), to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that she executed the same of her own free will for the purposes therein set forth.

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/00
Registration No. 01SW5020952

Cynthia A. Swanson

Notary Public

My Commission Expires: _____

839-682
51DV-9830

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, **Raymond Joseph Jones of 1959 Duanesburg Road, Duanesburg, NY 12056** and **Francis Lawrence Kirkpatrick (deceased) of 1274 Route 29, Galway, NY 12074**, and **James Lee Burns of 1134 Waverly Place, Schenectady, NY 12308**, and **John Robert Fulton of 23 Nottingham Way North, Clifton Park, NY 12065**, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in **PYROMETER MOUNT FOR A CLOSED CIRCUIT THERMAL MEDIUM COOLED GAS TURBINE** invented by us, as described in the application for United States Patent, filed on **September 21, 1999 as Serial Number: 09/400,863**; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

839-682
51DV-9830

10/21, 1999
Date

Raymond Joseph Jones
Raymond Joseph Jones

STATE OF New York)
COUNTY OF Schenectady)

) ss:

This 21st day of October, 1999, before me personally came the above-named Raymond Joseph Jones, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/00
Registration No. 01SW5030952

Cynthia A. Swanson
Notary Public
My Commission Expires: _____

_____, 1999
Date

Francis Lawrence Kirkpatrick (deceased)

STATE OF New York)
COUNTY OF Schenectady)

) ss:

This _____ day of _____, 1999 before me personally came the above-named Francis Lawrence Kirkpatrick, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public
My Commission Expires: _____

~~CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/00
Registration No. 01SW5030952~~

PATENT
REEL: 010963 FRAME: 0777

839-682
51DV-9830

21 - OCT, 1999
Date
James Lee Burns
James Lee Burns

STATE OF New York)
COUNTY OF Schenectady)
) ss:

This 21st day of October, 1999 before me personally came the above-named James Lee Burns, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/00
Registration No. 01SW5030952

Cynthia A. Swanson
Notary Public
My Commission Expires: _____

October 29, 1999
Date

John Robert Fulton
John Robert Fulton

STATE OF New York)
COUNTY OF Schenectady)
) ss:

This 29th day of October, 1999 before me personally came the above-named John Robert Fulton, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Cynthia A. Swanson
Notary Public
My Commission Expires: _____

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/00
Registration No. 01SW5030952