

08-07-2000

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONI



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter Traxler, Guido Bold, Wolfgang
Karl-Diether Brill and Jörg Frei

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address: _____

Street Address: Schwarzwaldallee 215

City: Basel State: Switzerland ZIP: 4058

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: 1) 12/17/97, 2) 12/19/97, 3) 1/12/98 and
4) 1/14/98.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/981,877

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Corporation

Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

08/07/2000 DNGUYEN 00000072 190134 08981877

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph J. Borovian
Name of Person Signing
Reg. No. 26,631

Joseph J. Borovian
Signature

July 10, 2000
Date

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Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
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PATENT
REEL: 010965 FRAME: 0370

ASSIGNMENT

I / We **Peter TRAXLER**, Bündtenring 3, 4124 Schönenbuch, Switzerland
Guido BOLD, Bleumatthöhe 16, 5073 Gipf-Oberfrick, Switzerland
Wolfgang Karl-Diether BRILL, Hans-Vetter-Strasse 137, 79650 Schopfheim, Germany
Jörg FREI, Buechring 36, 4434 Hölstein, Switzerland


for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Schwarzwaldallee 215, 4058 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

Pyrrolopyrimidines and processes for the preparation thereof


invented by me/us and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.

Signed on

**Peter TRAXLER**

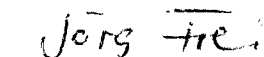
17. Dec. 1997

**Guido BOLD**

19. Dec. 1997

**Wolfgang Karl-Diether BRILL**

12. Jan 1998

**Jörg FREI**

14. Jan. 1998

US 10/97