

08-09-2000



Tab settings <<<< ▾

101426569

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

18J Whitehall Bank + Trust Company
(f/k/a 18J Schroder Bank + Trust
Company) 7-17-00Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other Release of AssignmentExecution Date: June 30, 2000

2. Name and address of receiving party(ies):

Name: Celestial Group, Inc.Internal Address: (f/k/a The HainFood Group Inc.)Street Address: 4600 Sleepytime DriveCity: Boulder State: CO ZIP: 80301Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

See "Exhibit A"

B. Patent No.(s)

See "Exhibit A"Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Return To 12300 . - .National Corporate Research, LTD.
225 W. 34th St., Suite 910
New York, N.Y. 10122
(800) 221-0102 (212) 947-7200

City: _____ State: _____ ZIP: _____

6. Total number of applications and patents involved: 217. Total fee (37 CFR 3.41):..... \$ 840⁰⁰☐ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

08/08/2000 NTHA11 00000174 08524301

01 FC:581

840.00 00

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benjamin Malig
Name of Person Signing[Signature]
Signature7/7/00
Date

Total number of pages comprising cover sheet

12

Schedule I

PATENTS

All Patents and Patent Licenses listed under this Schedule I belong to The Hain Food Group, Inc. None of the Subsidiary Guarantors own any Patents or Patent Licenses.

1)	U.S. Patent 4,199,373	PROCESS FOR THE MANUFACTURE OF CRYSTALLINE FRUCTOSE	INACTIVE
		<u>Corresponding Foreign Patent</u> Canada 1,095,904	INACTIVE
2)	U.S. Patent 4,199,374	PROCESS OF PREPARING CRYSTALLINE FRUCTOSE FROM HIGH FRUCTOSE CORN SYRUP	INACTIVE
		<u>Corresponding Foreign Patent</u> Canada 1,113,462	INACTIVE
3)	U.S. Patent 4,254,155	SWEETNER COMPOSITION AND METHOD OF PREPARING SAME	INACTIVE
4)	U.S. Patent 4,293,570	PROCESS FOR THE PREPARATION OF SWEETNERS AND CONFECTIONARY PRODUCTS CONTAINING SAME	INACTIVE
5)	U.S. Patent 4,304,794	ARTIFICIAL SWEETNER COMPOSITION AND PROCESS OF PREPARING AND USING SAME	12/12/81
6)	U.S. Patent 4,333,872	ALPHA-L-ASPARTYL-L-PHENYLALANINE METHLESTERS	06/08/82
		<u>Corresponding Foreign Patent</u> Canada 1,179,329	12/11/84
7)	U.S. Patent 4,376,198	PROCESS FOR THE MANUFACTURE OF GLUCOSYLSORBITOL	INACTIVE
		<u>Corresponding Foreign Patent</u> Canada 1,179,334	INACTIVE

PATENTS/APPLICATIONS

Country	Patent No. Serial No.	Date of Issue/Filing	Subject	Status
US	5,360,624	11/01/94	COMPOSITION FOR CONTROLLING RELEASE OF CARBOHYDRATES	Issued
US	5,545,410	08/13/96	METHOD FOR CONTROLLING BLOOD SUGAR LEVEL	Issued
Mexico	94/08421	10/31/94	COMPOSITION FOR CONTROLLING RELEASE OF CARBOHYDRATES	Pending
PCT	PCT/US94/04523	04/25/96	COMPOSITION FOR CONTROLLING RELEASE OF CARBOHYDRATES	Inactive
US	SN323,853	10/31/94	COMPOSITION FOR CONTROLLING RELEASE OF CARBOHYDRATES FOR USE IN CERTAIN FOODS	Pending
US	Filed	09/06/96	METHOD FOR CONTROLLING BLOOD SUGAR LEVEL	Pending
US	5,536,156	07/16/96		Issued
US	5,360,614	11/01/94	METHOD FOR CONTROLLING THE RELEASE OF CARBOHYDRATES BY INCAPSULATION	Issued
PCT			METHOD FOR CONTROLLING BLOOD SUGAR LEVEL	To be filed

10-04-1999

RECORDATION FC



5-21-99

PATENT

101139722

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

<p>1. Name of conveying party(ies):</p> <p>The Hain Food Group, Inc. 50 Charles Lindberg Boulevard Uniondale, New York 11553</p>	<p>2. Name and address of receiving party(ies):</p> <p>IBJ Whitehall Bank & Trust Company One State Street New York, New York 10004</p>
<p>3. Nature of conveyance:</p> <p><input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input checked="" type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____</p> <p>Execution Date(s): May 18, 1999 1st (sole) Inventor: 2nd Inventor: 3rd Inventor: 4th Inventor:</p>	
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):</p> <p>1st (sole) Inventor: 2nd Inventor: 3rd Inventor: 4th Inventor:</p> <p>A. Patent Application No.(s): see attached schedule B. Patent No.(s): see attached schedule</p> <p style="text-align: right;">84CE NOSPEC FEE</p>	

Page 1 of 2

05/25/1999 MTHAI1 00000139 4199373

01 FC:581

840.00 DP

5. Name and address of party to whom correspondence concerning document should be mailed:

Alice L. Shih, Esq.
Nixon, Hargrave, Devans & Doyle LLP
437 Madison Avenue
New York, New York 10022

6. Total number of applications and patents involved: **21**

7. Total fee (37 CFR 3.41): \$ 840.00

☐ Previously paid (see original transmittal)

☒ A check in the amount of \$840.00 is enclosed.

8. Deposit Account Number: 14-1138

☐ Charge total fee to account.

☒ Charge any additional fees to account.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: May 18, 1999

Alice L. Shih, Esq.
Nixon, Hargrave, Devans & Doyle LLP
437 Madison Avenue
New York, New York 10022
(212) 940-3000

[Total number of pages including cover sheet, attachments and document: 7]

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**NOTICE OF RELEASE OF ASSIGNMENT
FOR SECURITY IN PATENTS**

FOR GOOD AND VALUABLE CONSIDERATION, receipt of which is hereby acknowledged, IBJ WHITEHALL BANK & TRUST COMPANY, formerly known as IBJ SCHRODER BANK & TRUST COMPANY, as Administrative Agent ("Releasing Party"), hereby (a) acknowledges the termination and release of all of its right, title and interest in and to the patents, patent registrations and patent applications ("Patents") owned by THE HAIN CELESTIAL GROUP, INC., formerly known as THE HAIN FOOD GROUP INC. ("Patents Owner"), including, but not limited to, all patents, patent registrations and patent applications set forth on **Exhibit A** attached hereto, and (b) requests that such termination be made of record in the United States Patent and Trademark Office, indexed against the patents, registrations and applications set forth on said **Exhibit A**. Releasing Party's interest in Patent Owner's Trademarks, such interest to be terminated by this notice of release, is evidenced by the Notice of Recordation of Assignment Document which indicates that a security interest in the patents identified in **Exhibit A** was recorded with the United States Patent & Trademark Office on May 21, 1999 at Reel/Frame 010133/0463.

**IBJ WHITEHALL BANK & TRUST
COMPANY, as Administrative Agent**

By: CLV
Name: Christian L. Vanni
Title: Associate

Date: June 30 2000

FOREIGN PATENTS

Case Number	Attorney	Status	Application Number	Filing Date	Patent Number	Issue Date
57436	GTS EPG	Country: AU Pending	Australia 67128/94	07/28/94		
			Title: METHOD OF CONTROLLING THE RELEASE OF CARBOHYDRATES BY INCAPSULATION AND			
57436	GTS EPG	Country: BR Abandoned	Brazil		PI 9406053-3	
			Title: METHOD OF CONTROLLING THE RELEASE OF CARBOHYDRATES BY INCAPSULATION AND			
57436	GTS EPG	Country: CA Pending	Canada	25 - Apr-1994	2,161,518	
			Title: METHOD OF CONTROLLING THE RELEASE OF CARBOHYDRATES BY INCAPSULATION AND			
57436	GTS EPG	Country: EP Unfiled	European Patent Office			
			Title: METHOD OF CONTROLLING THE RELEASE OF CARBOHYDRATES BY INCAPSULATION AND			
57436	GTS EPG	Country: JP Pending	Japan	26-Oct-1995	6-524454	
		Pending	Title: METHOD OF CONTROLLING THE RELEASE OF CARBOHYDRATES BY INCAPSULATION AND			

MEMORANDUM OF SECURITY AGREEMENT - PATENTS

Pursuant to a Security Agreement, dated as of May 18, 1999 (the "Security Agreement"), made by the undersigned, located at 50 Charles Lindbergh Blvd., Uniondale, New York 11553, the undersigned has granted to IBJ Whitehall Bank & Trust Company, located at One State Street, New York, New York, 10004, as agent (the "Agent") a continuing security interest in, and a continuing lien upon, among other Collateral (as defined in the Security Agreement), (a) all patents, now existing or hereafter adopted or acquired, all registrations and recordings thereof, and all applications in connection therewith, whether in the United States Patent and Trademark Office or in any similar office or agency or the United States, any State thereof or any other country or any political subdivision thereof, or otherwise, including, without limitation, all patents listed in the Schedule annexed hereto (the "Patents") and (b) all renewals and proceeds (including accounts receivable and royalties) thereof.

The Agent's security interest in the Patents can only be terminated in accordance with the terms of the Security Agreement.

Dated: May 18, 1999

THE HAIN FOOD GROUP, INC.

By: 

Name: *Gary M. Jacobs*

Title: *Sr. Vice President - Finance*

EXHIBIT A

N102871.1

PATENT
REEL: 010968 FRAME: 0964

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3)	U.S. Patent 4,254,155	SWEETNER COMPOSITION AND METHOD OF PREPARING SAME	INACTIVE
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PCT			METHOD FOR CONTROLLING BLOOD SUGAR LEVEL	To be filed

Country	Patent No. Serial No.	Date of Issue/Filing	Subject	Status
US	SN 524,301	09/06/96	METHOD FOR CONTROLLING THE RELEASE OF CARBOHYDRATES BY INCAPSULATION	Pending