

08-09-2000

09/600356  
Attorney Docket No. 015258-047100US

FORM PTO-1595  
(Rev. 6-93)  
To the Honorable Asst. Commissio



U.S. Department of Commerce  
Patent and Trademark Office  
534 Rec'd PCT/APP 14 JUL 2000

101428164

1. Name of conveying party(ies):  
  
Axel Rueter  
  
Additional name(s) of conveying parties attached?  Yes  
 No.

Name and address of receiving party(ies)  
  
Name: Sulzer Orthopedics Ltd.  
  
Internal Address:  
  
Street Address: Grabenstrasse 25

3. Nature of conveyance:  
  
 Assignment  Merger  
  
 Security Agreement  Change of Name  
  
 Other:  
  
Execution Date: April 5, 2000

City: Baar State: Switzerland ZIP: CH-6340  
  
Additional names and addresses attached?  Yes  No  
  
09/600356

4. Application Number(s) or Patent Numbers.  
  
If this document is being filed together with a new application, the execution date of the application is: April 5, 2000  
  
A. Patent Application No(s): B. Patent No(s):  
  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Name: J. Georg Seka  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1  
  
7. Total fee (37 CFR 3.41): -----\$40.00  
  
 Enclosed  Charge Fees to Deposit Account  
  
 Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.  
  
8. Deposit account number: 20-1430

07/14/2000 061700 00000137 201430 09600356  
02 FC:581 40.00 CH

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9. Statement and signature.  
  
*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*  
  
J. Georg Seka  
Name of Person Signing  
  
Atty Reg. No. 24,491  
  
  
Signature  
  
July 14, 2000  
Date  
  
Total number of pages including cover sheet, attachments and document 2

10. Change Correspondence Address to that of Part 5?  Yes  No  
OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion  
Mail documents to be recorded with required cover to:  
  
Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

SF 1114701 v1

ASSIGNMENT

WHEREAS, Axel Rüter

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: Humerus head prosthesis

Filed: \_\_\_\_\_ Serial No. \_\_\_\_\_

Executed on: April 5, 2000

WHEREAS, Sulzer Orthopedics Ltd., Grabenstrasse 25  
CH-6340 Baar, Switzerland

hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Axel Rüter

Axel Rüter

05.04.00

Date:

Date:

Date:

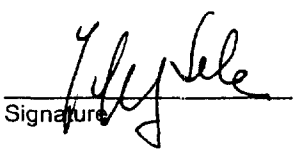
Date:

Assignfa.wp

09/600356

Attorney Docket No. 015258-047100US

534 Rec'd PCT/PTC 14 JUL 2000

FORM PTO-1595 (Rev. 6-93)		Recordation Form Cover Sheet (Patents Only)		U.S. Department of Commerce Patent and Trademark Office	
To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof					
1. Name of conveying party(ies):  Axel Rueter  Additional name(s) of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.			2. Name and address of receiving party(ies)  Name: Sulzer Orthopedics Ltd.  Internal Address:  Street Address: Grabenstrasse 25  City: Baar State: Switzerland ZIP: CH-6340  Additional names and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other:  Execution Date: April 5, 2000					
4. Application Number(s) or Patent Numbers.  If this document is being filed together with a new application, the execution date of the application is: April 5, 2000  A. Patent Application No(s): B. Patent No(s):  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: J. Georg Seka TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 <sup>th</sup> Floor San Francisco, California 94111-3834 (415) 576-0200			6. Total number of applications and patents involved 1  7. Total fee (37 CFR 3.41): -----\$40.00  <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge Fees to Deposit Account  <input checked="" type="checkbox"/> Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.  8. Deposit account number: 20-1430		
<b>DO NOT USE THIS SPACE</b>					
9. Statement and signature.  <i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i>  J. Georg Seka Name of Person Signing Atty Reg. No. 24,491   Signature  July 14, 2000 Date  Total number of pages including cover sheet, attachments and document 2					
10. Change Correspondence Address to that of Part 5? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					
OMB No. 0651-0011 (exp. 4/94)					
Mail documents to be recorded with required cover to:  Do not detach this portion  Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231					

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RECORDED: 07/14/2000

PATENT  
REEL: 010972 FRAME: 0146