

RE

08-09-2000

EET

Docket No. 247/193



101426647

To the Honorable Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office, Washington, D.C. 20503
Send original documents or copy thereof.

1. Name of conveying party(ies):

Denise Barbut

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date:

2. Name and address of receiving party:

Name: CoAxia, Inc.

Internal Address:

City: State: Zip:

Street Address: 70 East 77th Street

City: New York State: NY Zip: 10021-1811

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 6, 2000

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John Kappos

Internal Address: LYON & LYON LLP

633 West Fifth Street, Suite 4700

Los Angeles, CA 90071-2066

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☒ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 12-2475

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John Kappos, Reg. No. 37,861

Date: July 28, 2000

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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Box Assignments

Washington, D.C. 20231

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ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, DENISE BARBUT, a citizen of UNITED KINGDOM, (hereinafter referred to as “ASSIGNOR”), have invented and own a certain invention entitled PARTIAL AORTIC OCCLUSION DEVICES AND METHODS FOR CEREBRAL PERFUSION AUGMENTATION for which application for Letters Patent of the United States of America has been executed on even date herewith; and

WHEREAS, COAXIA, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having its principal place of business at 70 East 77th Street, New York, New York 10021-1811 (hereinafter referred to as “ASSIGNEE”), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue

said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, the ASSIGNOR has executed this Assignment at:

New York, NY
(City)


Denise R. Barbut

7/26/00
Date



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The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: July 28, 2000

By: John Kappos
John Kappos
Reg. No. 37,861
Attorneys for Applicant



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PATENT TRADEMARK OFFICE

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