

8-2-00



To the Honorable Commissioner of Patents and

101457811

1 documents or copy thereof.

1. Name of conveying parties:

- (1) Naohisa KAWAGUCHI (3) Takashi OHNO
- (2) Kazuki MATSUI (4) Akinori IWAKAWA

2. Name and address of receiving party:

FUJITSU LIMITED  
 1-1, Kamikodanaka, 4-chome  
 Nakahara-ku, Kawasaki-shi  
 Kanagawa 211-8588 JAPAN

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other: \_\_\_\_\_

Execution Date(s): March 3, 1998

4. Application number(s) or patent number(s): 09/035,864

This document is being filed together with a new application:

- (a) The execution date of the application is: March 3, 1998
- (b) The title is: SERVERS AND CLIENTS

5. Name and address of party to whom correspondence concerning document should be mailed:

STAAS & HALSEY  
 700 Eleventh Street, N.W.  
 Suite 500  
 Washington, D.C. 20001

Our Docket: 1080.1057/JDH

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

- Enclosed
- Authorized to be charged to deposit account.

8. Deposit Account No.: 19-3935 (Any underpayment is authorized to be charged to this Deposit Account)  
(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

James D. Halsey, Jr., Registration No. 22,729

Name of Person Signing

Signature

March 6, 1998

Date

Total number of pages comprising cover sheet: 2

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# U.S. ASSIGNMENT

5&M 8.94

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter, "ASSIGNOR") by

(Insert Name(s) & Address(es) of ASSIGNEE(S))

FUJITSU LIMITED

1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi,

Kanagawa, 211-8588 JAPAN

(hereinafter, "ASSIGNEE"), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

(Title of Invention)

SERVERS AND CLIENTS

relating to International Patent Application PCT/JP \_\_\_/\_\_\_ and/or for which application for Letters Patent of the United States was executed on even date herewith or, if not so executed, was:

(a) executed on \_\_\_\_\_; (Insert date of execution of application, if not concurrent)

(b) filed on \_\_\_\_\_  
Serial No. \_\_\_/\_\_\_\_\_;

Any registered attorney of STAAS & HALSEY, 700 Eleventh Street, N.W., Washington, D.C. 20001 (202/434-1500) is hereby authorized to insert in (b) the specified data, when known.

and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidence.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Typed Name & Signature of Inventor(s))

(Date)

(Typed Name & Signature of Witness(es))

Naohisa Kawaguchi  
Naohisa Kawaguchi

March 3, 1998

Yuka Jutori  
Yuka Jutori

Kazuki Matsui  
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March 3, 1998

Yuka Jutori  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Naohisa KAWAGUCHI, et al.

Serial Number: 09/035,864

Filed: March 6, 1998

For: SERVER SWITCHING BETWEEN COMMUNICATION MODES FOR CLIENTS  
COUPLED TO THE SERVER



Group Art Unit: 2756

Examiner: MAUNG, Z

COMMUNICATION AND REQUEST TO RECORD ASSIGNMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

A telephone conference on August 1, 2000 with a representative of the Assignment Branch of the U.S. Patent Office indicates that the originally-filed Assignment was not recorded in the subject application.

Enclosed are copies of the Assignment, Recordation Form Cover Sheet, and post card date-stamped by the U.S. Patent Office indicating receipt of same March 6, 1998.

It is respectfully requested that the Assignment be recorded in the subject application.

If any fees are required in connection with the filing of this paper or the recording of the Assignment, please charge our Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY

By:

Gene M. Garner II  
Registration No. 34,172

Dated: August 2, 2000  
STAAS & HALSEY  
700 Eleventh Street, N.W., Suite 500  
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**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SEPTEMBER 22, 2000

PTAS

STAAS & HALSEY  
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\*101425891A\*

*Record*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 101425891

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING DOES NOT ADEQUATELY IDENTIFY THE PROPERTY NUMBER(S). THE APPLICATION NUMBER AND SERIES CODE OR THE SERIAL NUMBER AND FILING DATE IS REQUIRED.

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
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