

FORM P10-1393	101430326	OVER SHEET NLY	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
To The Honorable Commissioner of Details	d Tradomania, Di	4 44 - 1 1 - 2. 1 - 3.	Attorney Ref. No. 99,015-B	
To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying parties: 2. Name and address of receiving party(ies)				
Traine of conveying parties.	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	. Name and address of receiving	g party(ies)	
Robert W. DeSimone, Alan Hutchison, Kenneth Shaw and Daniel L. Rosewater		Name: Neurogen Corporation	n C	
		Internal Address:		
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No				
Nature of conveyance:				
3. Ivaluic of conveyance.				
☑ Assignment	rer			
	{	Street Address: 35 Northeast	Industrial Road	
☐ Security Agreement ☐ Chan	ge of Name	City: Branford State: Conn	ecticut Zip: 06405 🗇	
□Other:				
Execution Date: June 27, 2000 and July 10, 2000		Additional name(s) & address(es) attached? ☐ Yes ☒ No		
4. Application number(s) or patent number(s):				
If this document is being filed together with a new application, the execution date of the application is:				
A. Darma Amultington NT- (1)		Datant No. (-)		
A. Patent Application No.(s)	B.	. Patent No.(s)		
09/541,797				
	Additional numbers attac	L.40 FLV., max		
	Additional numbers attac	ched? Li Yes izino		
5. Name and address of party to whom corres	pondence concerning			
document should be mailed:		Total number of applications a	and patents involved: 1	
·			·	
Name: Steven J. Sarussi		T . 15 (22 CD) 2 (1)	710.00	
	7.	. Total Fee (37 CFR 3.41)	\$40.00	
Company Name: McDonnell, Boehnen, Hulbert & Berghoff		☑ Enclosed		
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Street Address: 200 South Wester	m Dairea Civita 2200	Authorized to be charged to		
Street Address: 300 South Wacket	r Drive, Suite 3200 8.	Deposit account number: 13-2	2490	
City: Chicago State: IL	ZIP: 60606			
		VII. A. I. A. I.		
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9. Statement and signature.				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original				
document.				
1 million				
Steven J. Sarussi Date: July 12, 2000				
Printed Name of Person Signing Signature				
Total number of pages including cover sheet, attachments, and document: 5				
Mail documents to be recorded with required cover sheet information to:				

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

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PATENT REEL: 010980 FRAME: 0852

ASSIGNMENT

Case No.: 99,015-B Serial No.: 09/541,797

Inventors: Robert W. DeSimone, Alan Hutchison, Kenneth Shaw, and Daniel L. Rosewater

Date of Execution

of Application: Filing Date: March 31, 2000

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

NEUROGEN CORPORATION, a corporation of the State of Delaware

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

and identified as:

Case No. 99,015-B

in the offices of MCDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted,

been made. WITNESS my hand and seal this 27 day of June, 2000. Robert W. DeSimone State of Connecticut County of aven The foregoing instrument was acknowledged before me this $2 \frac{1}{2}$ day of , 2000 by Mobert W. DeSimone MARION L. KLESSER Notary Public, State of Connecticut County of New Haven WITNESS my hand and seal this 21 day of June, 2000. State of Connecti County of The foregoing instrument was acknowledged before me this 27 day of __, <u>2000</u> by

as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not

MARION L. KLESSER
Notary Public, State of Connecticut
County of New Haven
Ay Commission Explane 16 | 31 | 04

WITNESS my hand and seal this 27 day of June, 2000.	
Ken	neth Shaw
State of Connecticut	MARION L. KLESSER Notary Public, State of Connecticut County of New Haven
County of New Haven	My Commission Expires 10/31 0
The foregoing instrument was acknowledged before me t	-
June, 2000 by Kennet Shaw	
The state of the s	Marion L. Alesse
NO NO	TARY PUBLIC
	_
WITNESS my hand and seal this 10 day of 10/4, 2000	Lan betruite
	niel L. Rosewater
State of Pennsylvault	
County of Thiladelphia	
The foregoing instrument was acknowledged before me to	his 10 day of
Jury , 2060 by NOTARIAL SEAL ALBERT C. LaTORRE, SR., Notary I City of Philadelphia, Phila. Ceum My Commission Expires Oct. 700	The Hold much

RECORDED: 07/17/2000