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09/615068
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Execution Date
Month Day Year
07/06/2000

Name (line 1) James J. Faletti
Name (line 2)

Second Party

Name (line 1) Steven J. Funke
Name (line 2)
Execution Date
Month Day Year
07/07/2000
09/615068

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Caterpillar Inc.
Name (line 2) Intellectual Property Department
Address (line 1) 100 N.E. Adams Street
Address (line 2)
Address (line 3) Peoria Illinois 61629-6490
City State/Country Zip Code

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Correspondent Name and Address

Area Code and Telephone Number (309) 675-4922

Name Larry G. Cain

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

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Patent Cooperation Treaty (PCT)

Enter PCT application number
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has not been assigned.

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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
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Deposit Account Number:

03-1129

Docket No. 97-672

Authorization to charge additional fees:

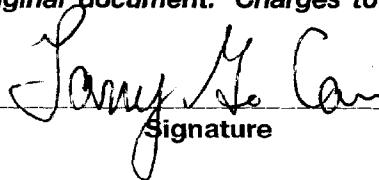
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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Larry G. Cain

Name of Person Signing



Signature

07/12/2000

Date

ASSIGNMENT

WHEREAS, James J. Faletti and Steven J. Funke, residing respectively at Spring Valley, Illinois and Princeville, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

EXHAUST GAS REPROCESSING/RECIRCULATION WITH VALVE TIMING

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on July 6, 2000 and July 7, 2000; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

James J. Faletti

JAMES J. FALETTI

7/6/00

Date

IN WITNESS OF the execution hereof by JAMES J. FALETTI we have hereunto set our hands.

John M. Clarke 7/6/00
Witness (1) Date

Address: 15615 N. IVY LAKE RD.
CHILLICOTHE, ILLINOIS 61523

Bonnie Hoodlum 7/6/00
Witness (2) Date

Address: R.R. 1 Box 268
Toulon, IL 61483

Steven J. Funke

STEVEN J. FUNKE

7/7/00

Date

IN WITNESS OF the execution hereof by STEVEN J. FUNKE we have hereunto set our hands.

David A. Mulder 7/7/00
Witness (1) Date

Address: 5015 Georgetown Rd
Washington IL 61271

Paula B. Long 7 July 2000
Witness (2) Date

Address: 151 W. Columbia Terrace
Prosser, IL 61606