

08-17-2000

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)



EET U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings ⇄ ⇄ ⇄ ▼ 7.13.20 ▼

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To the Honorable Commissioner of Patents and Trademarks, please attach the original documents or copy thereof.

1. Name of conveying party(ies):
Adnan Siddiqui
Elaine Kleinfeld
Alfred Schultz

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Name: Stepan Company

Internal Address: Intellectual Property Department

Street Address: 22 West Frontage Road

City: Northfield State: IL ZIP: 60093

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

5,969,032

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul S. Tully

Internal Address: Intellectual Property Department

Stepan Company

Street Address: 22 W. Frontage Road

City: Northfield State: IL ZIP: 60093

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

500904

(Attach duplicate copy of this page if paying by deposit account)

08/17/2000 DNGUYEN 00000205 500904 5969032

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul S. Tully

Name of Person Signing

Reg. No. 44,377

Paul Tully
Signature

7/13/2000
Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO--1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 010984 FRAME: 0731

ASSIGNMENT

Case No.: T00,003

Patent No.: 5,969,032

Inventors: Phan, Lien; Rajeev, Farwaha;
Schultz, Al; Kleinfeld, Elaine;
Saddiqui, Adnan

Issue Date: Oct. 19, 1999

Filing Date: Mar. 9, 1998

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to :

STEPAN COMPANY, a corporation of the State of Delaware

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in the Letters Patent of the United States, entitled:

Latex Binders for Coatings Incorporating A Polymerizable Surfactant Having A Terminal Allyl Amine Moiety

and identified as:

U.S. Pat. No. 5,969,032

in the United States Patent and Trademark Office and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all other Letters Patent of the United States and foreign countries, which may be obtained on any of said applications or inventions, and in any reissue or extension of such patent(s), and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to assign said Letters Patent to said assignee.

The undersigned hereby authorize and request the practitioners/attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for reissue or extension of any Letters Patent that may be granted upon said application/patent and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transaction involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent has been granted, as fully and entirely as the same would have held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 12 day of June, 2000.

Alfred Schultz

State of Illinois

County of Lake

The foregoing instrument was acknowledged before me this 12th day of June, 2000 by Alfred Schultz.

Karen Ford



WITNESS my hand and seal this 23 day of June, 2000.

Michael Cawley

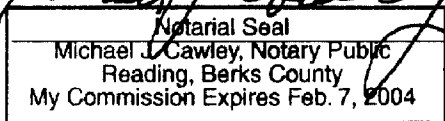
State of PA

County of Berks

The foregoing instrument was acknowledged before me this 23 day of June, 2000 by Michael Cawley.

Michael Cawley

Michael Cawley



NOTARY PUBLIC

Member, Pennsylvania Association of Notaries

WITNESS my hand and seal this ____ day of _____, _____ .

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of

_____, _____ by _____ .

NOTARY PUBLIC

ASSIGNMENT

Case No.: T00,003

Patent No.: 5,969,032

Inventors: Phan, Lien; Rajeev, Farwaha;
Schultz, Al; Kleinfeld, Elaine;
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U.S. Pat. No. 5,969,032

in the United States Patent and Trademark Office and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all other Letters Patent of the United States and foreign countries, which may be obtained on any of said applications or inventions, and in any reissue or extension of such patent(s), and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to assign said Letters Patent to said assignee.

The undersigned hereby authorize and request the practitioners/attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for reissue or extension of any Letters Patent that may be granted upon said application/patent and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transaction involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent has been granted, as fully and entirely as the same would have held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 7th day of July, 2000.

X Adnan Siddiqui

State of California

County of Los Angeles

The foregoing instrument was acknowledged before me this 7th day of July, 2000 by Adnan Siddiqui.

Frank R. Duran

NOTARY PUBLIC

