

08-17-2000



101435471

U.S. Department of Commerce
Patent and Trademark Office
PATENT

0.17.19.15E

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒

New

☐

Resubmission (Non-Recordation)

Document ID#

☐

Correction of PTO Error

Reel #

Frame #

☐

Corrective Document

Reel #

Frame #

Conveyance Type

☒

Assignment

☐

Security Agreement

☐

License

☐

Change of Name

☐

Merger

☐

Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐

Departmental File

☐

Secret File

Conveying Party(ies)

☐

Mark if additional names of conveying parties attached

Name (line 1)

KOCH FEED TECHNOLOGIES COMPANY

Execution Date
Month Day Year

02 01 2000

Name (line 2)

a Kansas Corporation

Execution Date
Month Day Year

Second Party

Name (line 1)

Name (line 2)

Receiving Party

☐

Mark if additional names of receiving parties attached

Name (line 1)

MP6, L.L.C.

Name (line 2)

Address (line 1)

3333 North Commercial Boulevard

Address (line 2)

Suite 203

Address (line 3)

Ft. Lauderdale

Florida

33309

City

State/Country

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

08/16/2000 MTHA11 00000133 5596815

01 FC:581

40.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 010984 FRAME: 0858

PATENT

Correspondent Name and Address

Area Code and Telephone Number (502) 584-1135

Name John F. Salazar

Address (line 1) Middleton & Reutlinger

Address (line 2) 2500 Brown & Williamson Tower

Address (line 3) Louisville, Kentucky 40202

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

5596815		

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

PCT

PCT

PCT

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John F. Salazar, Esq., Reg. No. 39,353

Name of Person Signing

Signature

Date

PATENT

REEL: 010984 FRAME: 0859

ASSIGNMENT OF PATENTS

WHEREAS, KOCH FEED TECHNOLOGIES COMPANY, a Kansas corporation, having a place of business at 4111 East 37th Street North, Wichita, Kansas 67220 ("Assignor"), is the owner of certain United States Patents issued by the U.S. Patent and Trademark Office listed below:

<u>Patent Title</u>	<u>Patent No.</u>	<u>Issue Date</u>
Fluidized Bed Particulate Material Treating Apparatus	5,161,315	Nov. 10, 1992
Material Treating Apparatus	5,238,399	Aug. 24, 1993
Particulate Material Feeder	5,316,128	May 31, 1994
Particulate Material Feeder	5,390,429	Feb. 21, 1995
Material Drying Process	5,596,815	Jan. 28, 1997

WHEREAS, Assignor assigned to MP6, L.L.C., having its principal office at 3333 North Commercial Boulevard, Suite 203, Ft. Lauderdale, Florida 33309 ("Assignee"), in the Asset Purchase Agreement between the parties dated January 21, 2000 ("Agreement"), Assignor's rights, title and interest in and to the Patents listed above.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration pursuant to the Agreement, the receipt and sufficiency of which is hereby acknowledged, Assignor confirms that it sold, assigned, transferred and conveyed and does hereby sell, assign, transfer and convey unto Assignee, its successors and assigns, all of Assignor's rights, title and interest in and to the Patents listed above, to be held to the full end of the term for which such Patents or any reissues, renewals, or extensions thereof are or may be granted.

Assignor further covenants that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said Patents or legal equivalents as may be known and accessible to Assignor and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to Assignee or its legal representatives any and all papers, instruments or affidavits required to maintain and enforce said Patents and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, Assignor has caused this Assignment of Patents to be signed by a duly authorized officer this 1st day of February, 2000.

KOCH FEED TECHNOLOGIES COMPANY

By: Steve Pankey
Name: Steve Pankey
Title: President

ATTEST: Donna L. Lane
Asst. Secretary

Approved for use through 01/30/2000. PTO/BB/16 (1-99)
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN

Decree Number (Optional)
 ZKB42/00001

Applicant, Patentee, or Identifier: Garland G. Rice, et al.
 Application or Patent No.: 5,596,815
 Filed/Issued: January 28, 1997
 Title: MATERIAL DRYING PROCESS

I hereby state that I am

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN MP6, L.L.C.

ADDRESS OF SMALL BUSINESS CONCERN 3333 North Commercial Boulevard, Suite 203
Fort Lauderdale, Florida 33309

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☐ the application identified above.
☒ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☒ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

NAME OF PERSON SIGNING Richard M. Andzel
 TITLE OF PERSON IF OTHER THAN OWNER President
 ADDRESS OF PERSON SIGNING 3333 North Commercial Boulevard, Suite 203,
Fort Lauderdale, Florida 33309
 SIGNATURE *RM Andzel* DATE 7/20/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual user. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20531. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20531.