

08-17-2000

FORM PTO-1619A

Expires 08/30/99
OMB 0651-0027U.S. Department of Commerce
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PATENT

101437006

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Conveyance Type☒

Assignment

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Name (line 1)

Penn Engineering & Manufacturing Corp.

Execution Date
Month Day Year

7 18 2000

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party☐

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Name (line 1)

PEM Management, Inc.

☐If document to be recorded
is an assignment and the
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domiciled in the United
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of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Name (line 2)

Address (line 1)

300 Delaware Avenue

Address (line 2)

9th Floor, Suite 900

Address (line 3)

Wilmington

Delaware

19801

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Gregory J. Gore, Esquire

Address (line 1)

70 West Oakland Avenue

Address (line 2)

Suite 316

Address (line 3)

Doylestown, PA 18901

Address (line 4)

08/16/2000 NTHA11 00000144 6079923

01 FC:581

40.00 00

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231**PATENT**
REEL: 010984 FRAME: 0919

Correspondent Name and Address

Area Code and Telephone Number

215-348-1442

Name

Gregory J. Gore, Esquire

Address (line 1)

70 West Oakland Avenue

Address (line 2)

Suite 316

Address (line 3)

Doylestown, PA 18901

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

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2

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

6,079,923

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

7 18 2000

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

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Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

071733

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Gregory J. Gore

Name of Person Signing

Signature

Date

7/20/00

ASSIGNMENT AGREEMENT

This Assignment Agreement is made and entered into as of this 18th day of JULY, 2000, between Penn Engineering & Manufacturing Corp., a Delaware corporation, with principal offices at 5190 Old Easton Road, Danboro, Pennsylvania 18916 ("Penn Engineering"), and PEM Management, Inc., a Delaware corporation, with its only office at 300 Delaware Avenue, Suite 900, Wilmington, Delaware 19801 ("Management").

BACKGROUND

The background of this Assignment Agreement is that the parties hereto have entered into a Subscription Agreement dated as of April 19, 1995 (the "Subscription Agreement"), under which Penn Engineering has agreed to transfer to Management all of its ownership, right, title, and interest in certain Penn Engineering intellectual property. As an additional capital contribution, by this Assignment, Penn Engineering hereby transfers unto Management U.S. Patent No. 6,079,923 entitled "HYBRID PANEL FASTENER".

AGREEMENT

NOW, THEREFORE, in consideration of the mutual promises and covenants made herein and in the Subscription Agreement and for other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, the parties hereto, intending to be legally bound, hereby agree as follows:

A) Penn Engineering hereby assigns, transfers and conveys to Management all right, title and interest in and to U.S. Patent No. 6,079,923 entitled "Hybrid Panel Fastener".

B) Management hereby assumes all liabilities and obligations, and acknowledges existing encumbrances, if applicable, existing or arising in connection with the assignment described in Paragraph (A) hereof, except as otherwise agreed in writing by the parties.

C) Without limiting the foregoing provisions, Penn Engineering agrees to take whatever further action is deemed necessary or appropriate by Management to properly and completely effect the transfer to Management of the Patent and to establish full custody and ownership of such Patent by Management.

D) This Assignment Agreement shall be governed by the internal laws of the State of Delaware, without reference to its conflict of laws principles.

IN WITNESS WHEREOF, the parties hereto, through their respective authorized officers, have executed this Agreement as of this 18th day of July, 2000, and affixed their respective corporate seals hereto.

[SEAL]

Attest:

Penn Engineering & Manufacturing Corp.

By: Teresa L. Leflar

By: Kenneth A. Swanstrom
Kenneth A. Swanstrom, Chairman/CEO

[SEAL]

Attest:

PEM Management, Inc.

By: Sandy Bayer

By: Leon M. Attarian
Leon M. Attarian, Assistant Secretary

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Harold D. Ross

ART UNIT: 3627

SERIAL NO.: 09/292,619

EXAMINER: N. Wilson

PATENT NO: 6,079,923

TITLE: HYBRID PANEL FASTENER

Gregory J. Gore
70 West Oakland Avenue
Suite 316
Doylestown PA 18901

TRANSMITTAL LETTER


Director of Patents and Trademarks
Box ASSIGNMENTS
Washington, D.C. 20231

Dear Sir:

Enclosed herewith for filing in the above-captioned case is a Recordation Coversheet , a Certificate of Mailing, a check in the amount of \$40.00, and a return receipt postcard.

Commissioner is hereby authorized to charge Deposit Account 071733 for any deficiencies or credits in payment fees.

Respectfully submitted,


GREGORY J. GORE
Reg. No. 30,057
Attorney for Applicant
Ph. (215) 348-1442
Fax (215) 348-9397

Date: 7/20/00

CERTIFICATE OF FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited by First Class Mail on July 20, 2000 and addressed to Director of Patents and Trademarks, Box ASSIGNMENTS, Washington D.C. 20231.

GREGORY J. GORE, ESQ.

Depositor's Name: Bonny Mayerberg

Signature: Bonny Mayerberg

Date: July 20, 2000