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U.S. Department of Commerce Patent and Trademark Office

Our Ref.: 1579-393

Commissioner of Patents and Trademarks Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): Cynthia A. TOTH P = JCo	2. Name and address of receiving party(ies):	
Ronald F. OVERAKER	Name: Duke University	
Brian C. DODGE Aug 0 1 2000	Internal Address:	
Brooks W. McCUEN	Street Address: Durham	
Additional name/s of conveying party/ies		
3. Nature of conveyance:	City: North Carolina	
☐ Assignment ☐ Merger	State/Country: 27708	
Security Assignment Change of Name		
Other	Zip:	
Execution Date: May 17, May 15, May 15, and May 18, 2000 respectively	Additional name/s & address/es attached? Yes No	
4. Application number(s) or patent number(s):	L	
If this document is being filed together with a new application, the execution date of the application is: 09/563,420		
	1 p.p. (N)	
A. Patent Application No(s).	B. Patent No(s).	
(1) 09/563,420		
(2)	(2)	
(3)	(3)	
Additional numbers attached Yes No		
5. Name and address of party to whom correspondence	6. Total number of applications & patents involved:	
concerning document should be mailed:	10.00	
	7. Total fee (37 CFR 3.41) \$ 40.00	
Name: Bryan H. Davidson	∑ Enclosed	
	Authorized to be charged to deposit account #14-1140	
Internal Address:		
	8. The Commissioner is hereby authorized to charge any	
Street Address: Nixon & Vanderhye P.C.	<u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which	
1100 North Glebe Road	should have been filed herewith (or with any paper thereafter	
8 th Floor	filed in this application by this firm) to our Account No.	
City: Arlington State: VA Zip: 22201	14-1140.	
DO NOT USE THIS SPACE		
9. Statements and signature.		
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy		
of the original document.		
Bryan H. Davidson	August 7, 2000	
	gnature Date	
Reg. No. 30,251		
Total number of pages including original cover sheet, attachments, and document: [3]		
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PATENT REEL: 010986 FRAME: 0237

ASSIGNMENT

WHEREAS, Cynthia A. TOTH, Ronald F. OVERAKER, Brian C. DODGE and Brooks W. McCUEN, (hereinafter ASSIGNORS) of c/o P.O. Box 3802, Department of Ophthalmology, Duke University Medical Center, Durham, North Carolina` 27708; c/o P.O. Box 3802, Department of Ophthalmology, Duke University Medical Center, Durham, North Carolina 27708; c/o P.O. Box 3802, Department of Ophthalmology, Duke University Medical Center, Durham, North Carolina 27708; and c/o P.O. Box 3802, Duke University Medical Center, Durham, North Carolinia 27708, respectively, have invented a certain improvement in MINIATURIZED SURGICAL FORCEPS AND METHODS OF MAKING THE SAME for which a so-entitled application for Letters Patent of the United States is being concurrently executed herewith; was filed in the United States Patent and Trademark Office on May 2, 2000 under Serial No. UNASSIGNED (Attorney Docket No. 1579-393;

WHEREAS, DUKE UNIVERSITY(hereinafter ASSIGNEE), of Durham, North Carolina, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNORS by these presents hereby sell, assign, and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the full and exclusive right to the said invention in the United States and all foreign countries, as described in the aforesaid application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, for the sole use and behoof of ASSIGNEE, its successors, assigns, and legal representatives.

And, ASSIGNORS hereby agree to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention; and to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

Agreeing, further, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

The undersigned hereby authorize(s) and request(s) Nixon & Vanderhye P.C. to insert hereon any further identification necessary or desirable for recordation of this document.

5-17-00	Citt alle
Date	Cynthia A. TOTH
Witnessed by:	<i>v</i>
Robert Medun	5.17.00
Name: Robert Machemer	Date:
5-15-00	Hoxald J. Ourader
Date	Ronáld F. OVERAKER
Witnessed by:	5/15/00
Name: H. GELBERT SMITH	Date:

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2000 May 15 Date Witnessed by: //	Brin Dorse
Name: 11. GELBERT SINETH	Brian C. DODGE
Witnessed by:	Date: Sumu Brooks W. McGUEN
Name: Dancy Linden	5 18 00 Date:

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RECORDED: 08/07/2000 REEL: 010986 FRAME: 0239