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SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name(s) of conveying party(ies):

- 1) ANDREI RODE
- 2) EUGENE GAMALY
- 3) BARRY LUTHER-DAVIES
- 4)
- 5)
- 6)

Additional names of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party:

Name: THE AUSTRALIAN NATIONAL UNIVERSITY

Internal Address: _____

Street Address: ACTON, AUSTRALIAN CAPITALTERRITORY, 2601Postal Code & City: ACTON 2601Country AUSTRALIAAdditional names & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Dates:

- | | |
|-------------------------|----------|
| 1) <u>17 APRIL 2000</u> | 4) _____ |
| 2) <u>19 APRIL 2000</u> | 5) _____ |
| 3) <u>18 APRIL 2000</u> | 6) _____ |

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,
the execution date of the application is _____.A. Patent Application No(s).
09/508,346

B. Patent No(s).

09/508346

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Seed Intellectual Property Law Group PLLCInternal Address: ERIC J. GASHStreet Address: 701 Fifth Avenue, Suite 6300City: Seattle State: WA ZIP: 98104-7092

6. Total number of applications and patents involved.....

1

7. Total Fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1090

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ERIC J. GASH

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

WHEREAS, I/WE (1) Andrie RODE

a Australian citizen, residing at 33 Darwinia Terrace, Rivett, Australian Capital Territory, 2611, Australia

(2) Eugene GAMALY

a Australian citizen, residing at Universidad Autonoma Metropolitana, Departamento de Fisica, Apartado Postal 55-534, Iztapalapa 09340, Mexico

(3) Barry LUTHER-DAVIES

a Australian citizen, residing at 1 Matcham Place, Kambah, Australian Capital Territory, 2902, Australia

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in "Thin films of amorphous and crystalline microstructures" the specification of which: based on ultrafast pulsed laser deposition"

(a) ☐ was executed on even date herewith;

(b) ☐ was filed on _____ as ☐ Application No. 0508346 or ☐ Express Mail No., as Application No. not yet known _____ and was amended on _____ (if applicable); or

(c) ☐ was described and claimed in PCT International Application No. PCT/AU98/00729 filed on 10 September 1998 and as amended under PCT Article 19 on _____ (if any) and/or under PCT Article 34 on _____ (if any).

AND WHEREAS, THE AUSTRALIAN NATIONAL UNIVERSITY

with its principal place of business at Acton, Australian Capital Territory, 2601, Australia

(hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 17 day of April, 2000

Signature of Andrei RODE

This 19 day of April, 2000

Signature of Eugene GAMALY

This 18 day of April, 2000

Signature of Barry LUTHER-DAVIES