

08-16-2000

MRO 7.13.00

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To the Honorable Commissioner of Patents and T

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documents or copy thereof.

1. Name of conveying party(ies):

(1) Holt, Robert Douglas  
(2) McBride, Thomas M.

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: (1) 07/11/00; (2) 07/12/00

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

10714 U.S. PTO  
09/615918



4 Application number(s) or patent number(s):

TITLE: APPARATUS AND METHOD FOR FILE LOCKING FOR COMPUTER PROGRAMS THAT USE DIFFERENT SIZE LOCKS

If this document is being filed together with a new application, the execution date of the application is 07/12/00

A. Patent Application No(s).

ROC9-2000-0008

B. Patent No(s).

09/615918

Additional numbers attached? ☐ Yes ☒ No

5 Name and address of party to whom correspondence concerning document should be mailed:

Derek P. Martin  
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6. Total number of applications and patents involved: 1

7. Total fee (37 CVF 3.41):

\$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

☐ Total fee due

☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465 for IBM Corporation

(Attach duplicate copy of this page if paying by deposit account)

08/15/2000 DC0ATES 00000136 090465 09615918

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Derek P. Martin  
Name of Person Signing

Derek P. Martin  
Signature

07/13/00  
Date

Total number of pages comprising cover sheet: 1

**ASSIGNMENT**

Whereas, we

(1) Robert Douglas Holt

City of Rochester  
and State of Minnesota

(2) Thomas M. McBride

City of ~~Kasson~~ Mantorville  
and State of Minnesota

TMM

have invented certain improvements in:


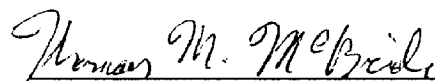
**APPARATUS AND METHOD FOR FILE LOCKING FOR COMPUTER PROGRAMS  
THAT USE DIFFERENT SIZE LOCKS**

and executed, respectively, a United States patent application therefor on

(1) July 11, 2000 (2) July 12, 2000

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) at Rochester, Minnesota  
on 7/11 2000
  
 (Robert Douglas Holt)  
 First Name Middle Last Name
Signature  
Inventorand (2) at Rochester, Minnesota  
on 7/12 2000
  
 (Thomas M. McBride)  
 First Name Middle Last Name
Signature  
Inventor