

08-16-2000

FORM PTO-1595 (modified)

U.S. DEPARTMENT OF COMMERCE

(Rev 6-93)

REC



SHEET

Patent and Trademark Office

MRO 7.24.00

101434038

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies): Changde LU Additional conveying party(ies) NO		2. Name and address of receiving party(ies): SHANGHAI INSTITUTE OF BIOCHEMISTRY, CHINESE ACADEMY OF SCIENCES 320 Yue-Yang Road Shanghai, 200031, People's Republic of China Additional name(s) & address(es) attached? NO	
3. Nature of conveyance: ASSIGNMENT Execution Date: June 26, 2000			
4. Application number(s) or patent number(s): If this is being filed together with a new application, the execution date of the application is:			
A. Patent Application Number(s): 09/529,812		B. Patent Number(s):	
Additional numbers attached? NO			
5. Name and address of party to whom correspondence concerning document should be mailed: Stephen A. Bent FOLEY & LARDNER Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5109		6. Total number of applications/patents involved: 1	
		7. Total fee (37 C.F.R. § 3.41): \$40.00 <input checked="" type="checkbox"/> Check Enclosed Charge to deposit account	
		8. Deposit account number: 19-0741	
DO NOT USE THIS SPACE			
9. Statement and signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.</i>			
Stephen A. Bent Name of person signing		 Signature	July 21, 2000 Date
Total number of pages including cover sheet, attachments, and document: 2			

08/15/2000 MTHA11 00000228 09529812

01 FC:581

40.00 0P

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

name and address of assignee

Shanghai Institute of Biochemistry, Chinese Academy of Sciences
320 Yue-Yang Road, Shanghai, 200031, People's Republic of China

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

title of invention

New triplex forming oligonucleotides and their use in anti-HBV

as set forth in his United States Patent Application

check one

- executed concurrently herewith
- executed on _____
- Serial No. _____ Filed _____

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

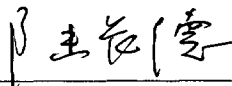
Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **FOLEY & LARDNER** the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAMES AND SIGNATURES OF INVENTORS

Name: CHANGDE LU	Signature: 	Date: 26. Jun. 2000
Name:	Signature:	Date:
Name:	Signature:	Date:
Name:	Signature:	Date:

NAMES AND SIGNATURES OF WITNESSES

Name:	Signature:	Date:
Name:	Signature:	Date:

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from the U.S. Consul.

PATENT

RECORDED: 07/24/2000

REEL: 010991 FRAME: 0377