

08-17-2000

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RM COVER SHEET
PATENTS

Docket No. AUS000080US1

WPO 7.13.00

JCS
682 U.S. PTO
09/616146
07/13/00

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Benedict Michael Rafanello
Mark A. Peloquin
Cuong Huu Tran
Cristi Nesbitt Ullmann

2. Name and address of receiving party(ies):

International Business
Machines Corporation
New Orchard Road
Armonk, New York 10504

3. Nature of conveyance:

X Assignment

Execution Date: 6/21/00, 6/23/00 and 7/11/00

09/616146

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 6/21/00, 6/23/00 and 7/11/00

A. Patent Application No.(s)

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

David A. Mims, Jr.
IBM Corporation
Intellectual Property Law
Internal Zip 4054
11400 Burnet Road
Austin, TX 78758
(512) 823-0950

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

X Authorized to be charged to deposit account

8. Deposit account number:

09-0447

08/17/2000 DNGUYEN 00000070 090447 09616146

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Mims, Jr.

Name of Person Signing

David A. Mims, Jr.
Signature

7/12/00
Date

Total number of pages including cover sheet: 3

PATENT
REEL: 010995 FRAME: 0116

ASSIGNMENT

Whereas, I,

- | | |
|--|-------------------------------------|
| (1) Benedict Michael Rafanello County of Williamson | of Round Rock and State of Texas |
| (2) Mark A. Peloquin County of Travis | of Austin and State of Texas |
| (3) Cuong Huu Tran County of Travis | of Austin and State of Texas |
| (4) Cristi Nesbitt Ullmann County of Travis | of Austin and State of Texas |

have invented certain improvements in

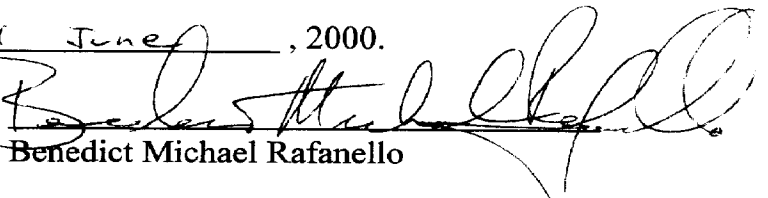
“Top-Down Control of Multiple Layer Aggregation Logical Volume Management Data and Boot Record”

and executed a United States patent application therefore on (1) 21 June, 2000,
(2) 11 July, 2000, (3) 21 June, 2000, and (4) 23 June, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I/we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Austin, TX on 21 June, 2000.



Benedict Michael Rafanello

(2) Signed at Austin, TX on 11 July, 2000.

Mark A. Peloquin
Mark A. Peloquin

3) Signed at Austin, TX on 21 June, 2000.

Cuong H. Tran
Cuong Huu Tran

4) Signed at Austin on June 23, 2000.

Cristi Nesbitt Ullmann
Cristi Nesbitt Ullmann