

08-17-2000

In place  
AUS9-2000



101434896

Docket No. AUS9-2000-0303-LSI

RECORDATION FORM COVER SHEET  
PATENTS

100 7.13.00

To the Assistant Commissioner for Patents: Please record the attached original Documents or copy thereof.

1. Name of conveying party(ies):

Scott Allen Carroll  
William Alton Fiveash  
Gerald Francis McBrearty  
Shawn Patrick Mullen  
Johnny Meng-Han Shieh

2. Name and address of  
receiving party(ies):

International Business  
Machines Corporation  
New Orchard Road  
Armonk, New York 10504



3. Nature of conveyance:

☒ Assignment

Execution Date: 7/11/00, 7/12/00, 7/13/00, 7/14/00, 7/15/00, 7/16/00, 7/17/00, 7/18/00, 7/19/00, 7/20/00, 7/21/00, 7/22/00, 7/23/00, 7/24/00, 7/25/00, 7/26/00, 7/27/00, 7/28/00, 7/29/00, 7/30/00, 7/31/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 7/11/00, 7/12/00, 7/13/00, 7/14/00, 7/15/00, 7/16/00, 7/17/00, 7/18/00, 7/19/00, 7/20/00, 7/21/00, 7/22/00, 7/23/00, 7/24/00, 7/25/00, 7/26/00, 7/27/00, 7/28/00, 7/29/00, 7/30/00, 7/31/00

A. Patent Application No.(s)

B. Patent No.(s)

09/615772

5. Name and address of party to whom  
correspondence concerning document  
should be mailed:

Volle Ernie  
IBM Corporation  
Intellectual Property Law  
Internal Zip 4054  
11400 Burnet Road  
Austin, TX 78758

6. Total number of applications  
and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Authorized to be charged to  
deposit account

(512) 823-1005

8. Deposit account number:

09-0447

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Volle Ernie  
Name of Person Signing

Volle Ernie  
Signature

7/12/00  
Date

08/17/2000 DNGUYEN 00000057 090447 09615772

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Total number of pages including cover sheet: 3

PATENT  
REEL: 010995 FRAME: 0138

**ASSIGNMENT**

Whereas, I,

- |  |                                     |
|--|-------------------------------------|
| (1) Scott Allen Carroll<br>of Williamson County  | of Cedar Park<br>and State of Texas |
| (2) William Alton Fiveash<br>of Travis County    | of Austin<br>and State of Texas     |
| (3) Gerald Francis McBrearty<br>of Travis County | of Austin<br>and State of Texas     |
| (4) Shawn Patrick Mullen<br>of Hays County       | of Buda<br>and State of Texas       |
| (5) Johnny Meng-Han Shieh<br>of Travis County    | of Austin<br>and State of Texas     |

have invented certain improvement in:

**A SYSTEM AND METHOD FOR ALERTING COMPUTER USERS OF DIGITAL SECURITY INTRUSIONS**

and executed a United States patent application therefore on  
(date inventor (1) signed declaration) July 11, 2000

and executed a United States patent application therefore on  
(date inventor (2) signed declaration) July 7, 2000

and executed a United States patent application therefore on  
(date inventor (3) signed declaration) July 11, 2000

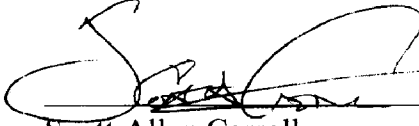
and executed a United States patent application therefore on  
(date inventor (4) signed declaration) July 7, 2000

and executed a United States patent application therefore on  
(date inventor (5) signed declaration) July 7, 2000


Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

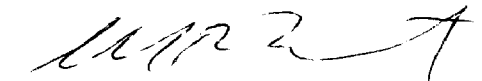
(1) Signed at Austin on July 11, 2000.

  
 Scott Allen Carroll

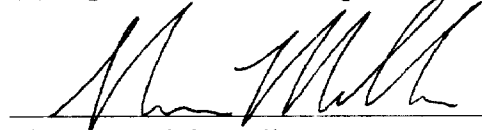
(2) Signed at Austin on July 7, 2000.

  
 William Alton Fiveash

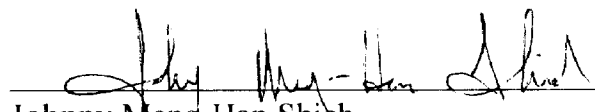
(3) Signed at Austin on July 11, 2000.

  
 Gerald Francis McBrearty

(4) Signed at Austin on 7/7/00, 2000.

  
 Shawn Patrick Mullen

(5) Signed at Austin on July 7, 2000.

  
 Johnny Meng-Han Shieh