

08-19-2000



101437435

To the Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tim K. Murphy  
Roland Zapfe

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: July 12, 2000; January 24, 2000

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

\_\_\_\_\_  
\_\_\_\_\_

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_.

A. Patent Application No(s).

B. Patent No(s).

Docket No. RO999-601  
Serial No. 29/117,527

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

James R. Nock  
IBM Corporation - Dept. 917  
3605 Highway 52 North  
Rochester, MN 55901

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

☒ Total fee due

☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

(Attach duplicate copy of this page if paying by deposit account)

08/17/2000 DNGUYEN 00000350 090465 29117527

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James R. Nock  
Name of Person Signing

James R Nock  
Signature

7-12-00

Date

Total number of pages comprising cover sheet: 1

# ASSIGNMENT

Whereas, we

(1), Tim K. Murphy  
County of Olmsted

City of Rochester ,  
and State of Minnesota,

and (2) , Roland Zapfe  
County of Olmsted,

City of Rochester ,  
and State of Minnesota,

have invented certain improvements in

## ENCLOSURE FOR A DASD EXPANSION UNIT FOR A DATA PROCESSING SYSTEM

and have executed, respectively, a United States patent application therefor on

Dates  
Inventors (1) July 12, 2000, and (2) January 24, 2000.

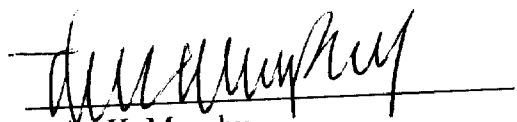
Signed  
Declaration

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

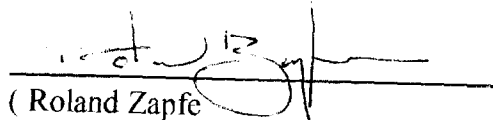
Signed

Inventor at Rochester, MN  
(1) on 7 12, 2000.

  
( Tim K. Murphy )  
First Name Initial Last Name

Inventor  
Signature

Inventor  
(2) at Rochester, MN  
on Jan 24, 2000,

  
( Roland Zapfe )  
First Name Initial Last Name

Inventor  
Signature