

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

Case 100-6114/CONT4 and DIV

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Zdenek Brich
Thomas Kissel

2. Name and address of receiving party(ies)

Name: Novartis AG
(Successor to Sandoz LTD. by merger)

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: Schwarzwaldallee 215City: Basel State: Switzerland ZIP: 4058Execution Date: August 17, 1984Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

5,922,338 and 5,922,682

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas HoxieInternal Address: Novartis CorporationPatent and Trademark Dept.Street Address: 564 Morris AvenueCity: Summit State: NJ ZIP: 07901-10276. Total number of applications and patents involved: 27. Total fee (37 CFR 3.41) \$ 80

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Melvyn M. Kaspenoff
Name of Person Signing
Reg. No. 26,389Melvyn M. Kaspenoff
SignatureSeptember 29, 2000
Date☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 2Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

700002251

PATENT
REEL: 011007 FRAME: 0371

USA

Case 100-6114

A S S I G N M E N T

I/We Zdenek Brich and Thomas Kissel

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to SANDOZ LTD. (also known as SANDOZ AG), a Company organised under the laws of the Swiss Confederation, of 4002 Basle, Switzerland, its successors and assigns, all my/our right, title and interest, in and for the United States of America, in and to the

NOVEL ESTERS, THEIR PREPARATION AND USE

invented by me/us and described in the specification for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said SANDOZ LTD., its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents to issue said Letters Patent to the said SANDOZ LTD.

Signed this

day of Aug. 17 1984



Zdenek Brich

Signed this

day of Aug 17 1984



Thomas Kissel

Signed this

day of 19

Signed this

day of 19
