

08-23-2000

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



101440797

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To the Honorable Commissioner of Patents and Trademarks, the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kern Rim

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

City: Armonk

State/Prov.: New York

Country: U.S.A.

ZIP: 10504

Execution Date: July 24, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s): 09/626331

If this document is being filed together with a new application, the execution date of the application is: July 24, 2000

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. Catania

Registration No. 32,608

Address: Scully, Scott, Murphy & Presser

400 Garden City Plaza

(516)742-4343

City: Garden City

State/Prov.: New York

Country: U.S.A.

ZIP: 11530

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0510/IBM

08/22/2000 DNGUYEN 700000308 500510 09626331

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard L. Catania

Name of Person Signing

Signature

July 26, 2000

Date

Total number of pages including cover sheet, attachments, and document: 2

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ASSIGNMENT

Whereas, I,

INVENTOR (1) Kern Rim of Peekskill
AND CITY County of Westchester and State of New York

have invented certain improvements in

TITLE A METHOD TO FABRICATE A STRAINED Si CMOS STRUCTURE USING SELECTIVE
EPITAXIAL DEPOSITION OF Si AFTER DEVICE ISOLATION FORMATION

DATE THAT and executed, a United States patent application therefor on
DECLARATION
WAS SIGNED (1) July 24 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

CITY Signed and Sealed
DATE (1) at Yorktown Heights, NY
on July 24 2000, (Kern Rim)
FIRST NAME MIDDLE INITIAL LAST NAME

State of New York)
County of Westchester) ss.:

Inventor (1) On this 24th day of July 2000, before me personally came Kern Rim to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

Notary Wendy R. Petrovich
WENDY R. PETROVICH
Notary Public, State of New York
No. 01RI5042058
Qualified in Westchester County
Commission Expires April 17, 2001