

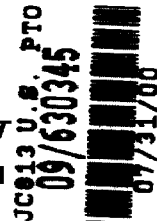
08-24-2000



101440199

PATENT

Docket No.: U 012858-1



Box Patent Application

Commissioner of Patents

and Trademarks

Washington, D.C. 20231

7-31-00

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21
and 37 CFR 3.31(a)4))

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

09/630345

a. Date of execution: JULY 22, 2000 (BY ALL INVENTORS).

b. Name of each inventor:

1. ANAND C. BURMAN
2. SUDHANAND PRASAD
3. RAMA MUKHERJEE
4. MANU JAGGI
5. ANU T. SINGH
6. RAJAN SHARMA

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date JULY 31, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL386270297US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

GERALDINE MARTI

(type or print name of person mailing paper)

(Signature of person mailing paper)

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

08/23/2000 DNGUYEN 00000235 09630345

01 FC:581

40.00 OP

PATENT
REEL: 011009 FRAME: 0969

c. Title of invention: NOVEL PEPTIDE FOR TREATMENT OF CANCER

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

1. ANAND C. BURMAN
2. SUDHANAND PRASAD
3. RAMA MUKHERJEE
4. MANU JAGGI
5. ANU T. SINGH
6. RAJAN SHARMA

Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: DABUR RESEARCH FOUNDATION
Address: 22, SITE IV, SAHIBABAD,
GHAZIABAD 201 010 India

Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Name: Janet I. Cord

Address: Ladas & Parry
26 West 61 Street
New York, NY 10023

Telephone No.: (212) 708-1935

Date Assignment (Document) Executed (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on JULY 22, 2000 (BY ALL INVENTORS).

Language of Assignment (Document) to be Recorded

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26

The attached document:

- ☒ is in the English language
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

Original Document or True Copy Submitted

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document.

NOTE: "If the original[assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

Number of Applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:

- B. The fee for recordal (37 CFR 1.21(h)) is \$40.00

- ☒ Attached is a check for \$40.00
- ☐ Please charge Account 12-0425 \$40.00.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 3 of 4)

A duplicate of this cover sheet is attached.

Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: JULY 31, 2000

(Name of party submitting document)

(Signature of party submitting document)

(Complete the following if the party submitting the document is applicant's attorney)



(Signature of attorney)

Reg. No. 33,778

Janet I. Cord
Ladas & Parry
26 West 61 Street

Tel. No. (212) 708-1935

New York, NY 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 7

Practitioner's Docket No. U 012858-1**PATENT**

For: ☒ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
 ☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

ANAND C. BURMAN
(type or print name of inventors)

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INDIAN

Address Nationality

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

DABUR RESEARCH FOUNDATION

(type or print name of ASSIGNEE)

22, SITE IV, SAHIBABAD

Address

INDIAN

Nationality

GHAZIABAD-201010 (U.P.) INDIA

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest☐ an undivided _____ percent (_____ %) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

NOVEL PEPTIDES FOR TREATMENT OF CANCERName of inventor(s) ANAND C. BURMAN, SUDHANAND PRASAD, RAMA MUKHERJEE,
MANU JAGGI, ANU T. SINGH, RAJAN SHARMA

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☒ U.S. patent application executed on even date herewith
 (b) ☐ U.S. patent application executed on _____
 (c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.
 ☐ Express mail label no.: _____
 Mailed: _____
 ☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
 (d) ☐ U.S. application no. _____
 filed on _____
 (e) ☐ International application no. PCT/_____/_____
 (f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

ANAND C. BURMAN

(type name of Assignor)

(✓) Anand C. Burman
Signature of ASSIGNOR

this (✓) 22.7.2000
date of signing

SUDHANAND PRASAD

(type name of Assignor)

(✓) Sudhanand Prasad
Signature of ASSIGNOR

this (✓) 22/7/2000
date of signing

RAMA MUKHERJEE

(type name of Assignor)

(✓) Rama Mukherjee
Signature of ASSIGNOR

this (✓) 22/7/2000
date of signing

MANU JAGGI

(type name of Assignor)

(✓) Manu Jaggi
Signature of ASSIGNOR

this (✓) 22.7.2000
date of signing

ANU T. SINGH

(type name of Assignor)

(✓) A.T. Singh
Signature of ASSIGNOR

this (✓) 22.7.2000
date of signing

RAJAN SHARMA

(type name of Assignor)

(✓) Rajan Sharma
Signature of ASSIGNOR

this (✓) 22.7.2000
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

ANAND C. BURMAN

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

DIRECTOR

Title

NOTE:

No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention—Multiple Inventors—page 3 of 3) 16-3.2