

08-28-2000

Form PTO-1595 (Modified)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECOR

101444369

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): <u>7-24-00</u></p> <p>Michael S. German</p> <p>Joseph Lin</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: The Regents of the University of California</p> <p>Internal Address:</p> <p>Street Address: 1111 Franklin Street</p> <p>City: Oakland State: California ZIP: 94607-5200</p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other:</p> <p>Execution Date(s): May 31, 2000 and June 22, 2000</p>	

4. Application number(s) or patent number(s): 09/535,145, filed March 24, 2000
If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/535,145

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carol L. Francis
Street Address: BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 01

7. Total fee (37 CFR 3.41): \$40.00

 Enclosed Authorized to be charged to deposit account

8. Deposit Account No. 50-0815

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

08/25/2000 DNGUYEN 00000156 500815 09535145

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carol L. Francis
Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet 03

ASSIGNMENT OF APPLICATION

Address to:
 Box Assignment
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Attorney Docket	UCSF-129
First Named Inventor	German et al.
Application Number	09/535,145
Filing Date	March 24, 2000
Title	<i>Human Neurogenin 3-Encoding Nucleotide Sequences</i>
Group Art Unit	Unassigned
Examiner Name	Unassigned

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Michael S. German
2. Joseph Lin

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

HUMAN NEUROGENIN 3-ENCODING NUCLEOTIDE SEQUENCES

and which is found in:

U.S. Application Serial No. 09/535,145, filed on March 24, 2000

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant that no assignment sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;


ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

31 day of May, 2000


 Michael S. German

____ day of _____, 2000

 Joseph Lin

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Address to:
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Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney Docket	UCSF-129
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AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

_____ day of _____, 2000

Michael S. German

22 day of June, 2000



Joseph Lin