

08-28-2000



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3689 U.S. PTO  
09/629589  
07/31/00

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Mark if additional names of conveying parties attached Execution Date  
Month Day Year

Name (line 1) CHHABRA, Ashvin B.

07/28/2000

Name (line 2)

**Second Party**

Name (line 1) ZAHAROFF, Alexander G.

07/28/2000

Name (line 2)

09/629589

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Name (line 1) J.P. Morgan Advisory Services, Inc.

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Address (line 1)

Address (line 2)

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**Correspondent Name and Address**

Area Code and Telephone Number (212) 735-3000

Name Guy Perry, Esq.

Address (line 1) Skadden, Arps, Slate, Meagher & Flom

Address (line 2) Four Times Square

Address (line 3) New York, New York 10036

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. # 4

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year 07/28/2000

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties** Enter the total number of properties involved. # 1

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment: Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 19-2385

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Guy Perry

Name of Person Signing



Signature

7/31/00

Date

**ASSIGNMENT OF PATENT APPLICATION**

For good and valuable consideration, the receipt in sufficiency of which is hereby acknowledged, I/we the undersigned inventor/co-inventors who have created a certain invention entitled:

**"METHOD AND SYSTEM FOR COMPUTING PATH  
DEPENDENT PROBABILITIES OF ATTAINING FINANCIAL GOALS"**

for which an application was concurrently filed, do hereby sell, assign and transfer to JP Morgan Advisory Services Inc., having a place of business at 345 Park Avenue, New York, N.Y., hereinafter referred to as ASSIGNEE, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application where applicable and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations, divisions, substitutions, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts and treaties;

I/We agree the ASSIGNEE, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said ASSIGNEE, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignment, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said ASSIGNEE, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everthing possible which said ASSIGNEE, its successors, assignees, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said ASSIGNEE, successors, assigns, or legal representatives; and

I/We covenant with said ASSIGNEE, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property

herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN TESTIMONY WHEREOF I/We have hereunto set my/our signature(s) on the date indicated.

Name of person signing: Ashvin Bonafede Chhabra

Residence and Post Office

Address of person signing: 41 Arcadia Road  
Old Greenwich, CT 06870  
USA

Signature: Ashvin B. Chhabra

Date: JULY 28, 2000

Name of person signing: Alexander G. Zaharoff

Residence and Post Office

Address of person signing: 72 West Branch Road  
Weston, CT 06883  
USA

Signature: Alexander G. Zaharoff

Date: 7/28/2000

STATE OF New York )

COUNTY OF New York ;

On this 28th day of July, 2000, before me, a Notary Public in and for the County of New York in the State of New York personally appeared Ashvin Chhabra and Alexander Zaharoff, to me known to be the \_\_\_\_\_ of \_\_\_\_\_, and being duly sworn, averred that, being duly authorized, (s)he executed the foregoing Assignment as the free act and deed of said corporation for the uses and purposes set forth.

Teresita S. Belmonte

NOTARY PUBLIC

My Commission Expires: August 29, 2000

**TERESITA S. BELMONTE**  
Notary Public, State of New York  
No. 01BE5032733  
Qualified in Queens County  
Cert. Filed in New York County  
Commission Expires August 29, 2000