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08-28-2000

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027



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U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

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- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

- ☒ Mark if additional names of conveying parties attached
- Execution Date  
Month Day Year  
07 20 00

Name (line 1) SUSSER, Joshua

Name (line 2)

**Second Party**

Name (line 1) BUTLER, Mitchel B.

Name (line 2)

Execution Date  
Month Day Year  
07 20 00

09255158

**Receiving Party**

- ☐ Mark if additional names of receiving parties attached

Name (line 1) SUN MICROSYSTEMS, INC.

Name (line 2)

Address (line 1) 901 SAN ANTONIO ROAD

Address (line 2)

Address (line 3) PALO ALTO

CALIFORNIA

94303-4900

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 011020 FRAME: 0922**

**Correspondent Name and Address**

Area Code and Telephone Number **408-441-1100**

Name **DAVID B. RITCHIE**

Address (line 1) **D'ALESSANDRO & RITCHIE**

Address (line 2)

Address (line 3) **P.O. BOX 640640**

Address (line 4) **SAN JOSE, CALIFORNIA 95164-0640**

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **5**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**09/235,158** **09/235,159** **09/235,156**

**09/235,155**

**09/235,157**

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

# **5**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **200.00**

Method of Payment:  
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# **04-0025**

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

David B. Ritchie



**7-25-2000**

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) STREICH, Andy

Execution Date  
Month Day Year  
07 20 00

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

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**Patent Application Number(s)**

**Patent Number(s)**



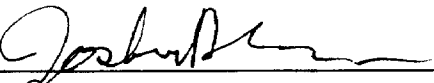
## ASSIGNMENT

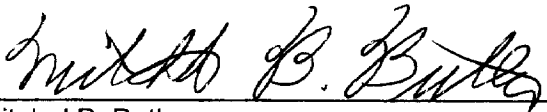
WHEREAS, we, Joshua Susser, a citizen of the United States of America, residing at 216 Dorland Street, San Francisco, California 94114, Mitchel B. Butler, a citizen of the United States of America, residing at 522 N. Cascade Terrace, Sunnyvale, California 94087, and Andy Streich, a citizen of the United States of America, residing at 693 Beach Park Boulevard, Foster City, California 94404, have invented a **"Techniques for Implementing Security on a Small Footprint Device Using a Context Barrier"** for which we have executed application papers for a U.S. patent thereon which was filed on January 22, 1999, serial no. 09/235,158; and;

WHEREAS, Sun Microsystems, Inc., a Delaware corporation, having a place of business 901 San Antonio Road, Palo Alto, California 94303-4900, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Joshua Susser, Mitchel B. Butler, and Andy Streich, do sell, assign, transfer and set over unto the said Sun Microsystems, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Sun Microsystems, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS MY HAND at Cupertino, California, this 20<sup>th</sup> day of July, 2000.

  
Joshua Susser

  
Mitchel B. Butler

  
Andy Streich

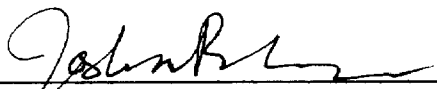
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WHEREAS, Sun Microsystems, Inc., a Delaware corporation, having a place of business 901 San Antonio Road, Palo Alto, California 94303-4900, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

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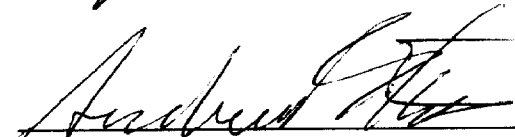
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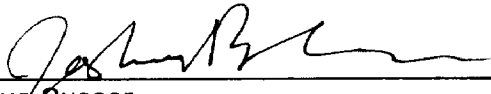
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WHEREAS, Sun Microsystems, Inc., a Delaware corporation, having a place of business 901 San Antonio Road, Palo Alto, California 94303-4900, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

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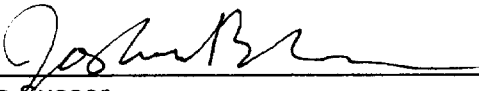
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WHEREAS, Sun Microsystems, Inc., a Delaware corporation, having a place of business 901 San Antonio Road, Palo Alto, California 94303-4900, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

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