

08-28-2000

Attorney Docket No. 18062G-003000US  
UC Case No.: 2000-341-1

7.28.00

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FORM PTO-1595  
(Rev. 6-93)U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Gang Sun and Yuyu Sun

Additional name(s) of conveying parties attached? ☐ Yes☒ No.

## 3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other:

Execution Date: July 11, 2000 and July 12, 2000

## 2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address:

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland State: CA ZIP: 94607-5200

Additional names and addresses attached? ☐ Yes ☒ No

## 4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/535,348

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph R. Snyder  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

## 6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00 E

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Joseph R. Snyder  
Name of Person Signing  
Signature7-24-00  
Date

Atty Reg. No. 39,381

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

08/28/2000 DNGUYEN 00000165 201430 09535348  
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Box: Assignments  
Washington, D.C. 20231

SF 1118058 v1

PATENT  
REEL: 011021 FRAME: 0359

**ASSIGNMENT**

U.C. Case No. 2000-341-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Gang Sun 2. Yuyu Sun  
 3. \_\_\_\_\_ 4. \_\_\_\_\_

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

N-HALAMINE VINYL COMPOUNDS AND THIER POLYMERIC BIOCIDES

and which is found in

- (a)    U.S. provisional application filed herewith and listing the above-named persons as inventors  
 (b)    U.S. patent application filed herewith and listing the above-named persons as inventors  
 (c) X U.S. application serial no. 09/535,348, filed on March 24, 2000  
 (d)    U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;


ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.


AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

day of July 11, 2000day of July 12, 2000

Signature of Inventor(s)

  
 \_\_\_\_\_  
 Gang Sun

  
 \_\_\_\_\_  
 YuYu Sun

SF 1112851 v1