

08-29-2000

Tab settings == == ==



To the Honorable Commissioner of Patent

1. Name of conveying party(ies):

Gregory J. McBrien

See 1 in Addendum

101445108

ached original documents or copy thereof.

ss of receiving party(ies):

Name: JDS Uniphase Corporation

Internal Address:

Street Address: 210 Baypointe Parkway

City: San Jose State: CA ZIP: 95134

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 16, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/520,874

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kurt Rauschenbach, Esq.

Internal Address: Law Office of Kurt Rauschenbach

Street Address: Post Office Box 387

City: Bedford State: MA ZIP: 01730

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

501211 (for under/overpayment only)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kurt Rauschenbach

Name of Person Signing

Signature

July 24, 2000

Date

Total number of pages including cover sheet, attachments, and document: 5

08/29/2000 DNGUYEN 00000162 09520874

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01 FC:581

40.00 DP

Form PTO-1595-Recordation Form Cover Sheet

Page 1 of 2

PATENT
REEL: 011022 FRAME: 0266

Attachment to Form PTO - 1595
Recordation Form Cover Sheet

Additional Conveying Parties

- Thomas Joseph Gryk
- Karl M. Kissa
- Ed Wooten
- Russell Fuerst

ASSIGNMENT

WHEREAS, We, Gregory J. McBrien, Thomas Joseph Gryk, Karl M. Kissa, Ed Wooten and Russell Fuerst have invented one or more improvements in:

ELECTRO-OPTIC MODULATOR WITH ENHANCED BIAS STABILITY

described in an application (or provisional application) for Letters Patent of the United States:

☐ identified by Attorney Docket No. _____, and/or executed by us of even date herewith and about to be filed in the United States Patent Office;

☒ Serial No. 09/520,874 filed in the United States Patent Office on March 8, 2000; and

WHEREAS, JDS Uniphase Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware, and having a usual place of business at 210 Baypointe Parkway, San Jose, California 95134 desires to acquire an interest therein, in accordance with agreements duly entered into with us;


NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the inventions described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under international convention, including but not limited to continuations, divisionals, reissues, and reexaminations of said application of such Letters Patent; said inventions, applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE for its own name as assignee of our entire right, title and interest therein.

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE,

its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and we further hereby authorize ASSIGNEE or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

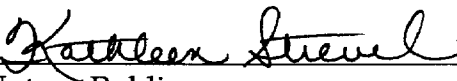
IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: 
Gregory J. McBrien

State of Connecticut)
County of Hartford) ss

Subscribed and sworn to before me, by the above-named Gregory J. McBrien this
16th day of June, 2000.

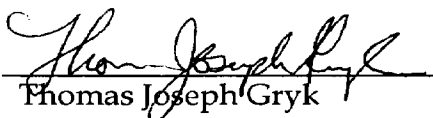
KATHLEEN STREVEL
NOTARY PUBLIC
MY COMMISSION EXPIRES SEP. 30, 2001

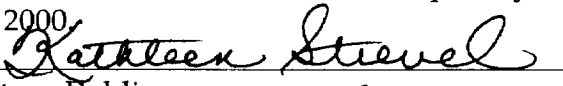

Notary Public
My Commission Expires: Sept. 30, 2001

State of Connecticut)
County of Hartford) ss

Subscribed and sworn to before me, by the above-named Thomas Joseph Gryk this
16th day of June, 2000.

KATHLEEN STREVEL
NOTARY PUBLIC
MY COMMISSION EXPIRES SEP. 30, 2001

Inventor: 
Thomas Joseph Gryk


Notary Public
My Commission Expires: Sept 30, 2001

Inventor: Karl M. Kissa
Karl M. Kissa

State of Connecticut)
County of Hartford) ss

Subscribed and sworn to before me, by the above-named Karl M. Kissa this 16th
day of June, 2000

KATHLEEN STREVEL
NOTARY PUBLIC
MY COMMISSION EXPIRES SEP. 30, 2001

Kathleen Strevel
Notary Public
My Commission Expires: Sept 30, 2001

Inventor: E. L. Wooten
Ed Wooten

State of Connecticut)
County of Hartford) ss

Subscribed and sworn to before me, by the above-named Ed Wooten this 16th
day of June, 2000

KATHLEEN STREVEL
NOTARY PUBLIC
MY COMMISSION EXPIRES SEP. 30, 2001

Kathleen Strevel
Notary Public
My Commission Expires: Sept 30, 2001

Inventor: Russell Fuerst
Russell Fuerst

State of Connecticut)
County of Hartford) ss

Subscribed and sworn to before me, by the above-named Russell Fuerst this
16th day of June, 2000

Kathleen Strevel
Notary Public
My Commission Expires: Sept 30, 2001

JDSU-008-Assignment

KATHLEEN STREVEL
NOTARY PUBLIC
MY COMMISSION EXPIRES SEP. 30, 2001