

08-29-2000



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Masahiro AOKI and Katsuhiko KUBOKI

M.A. & K.K.

Additional names(s) of conveying party(ies)

Yes No

2. Name and address of receiving party(ies):

Name: Hitachi, Ltd.

Address: 6, Kanda Surugadai 4-chome

Chiyoda-ku

City: Tokyo

State/Prov.:

Country: JAPAN

ZIP:

Additional name(s) & address(es)

Yes No

U.S. PTO
09/09/00
09/635199

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: 07/17/200 and 07/07/2000

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 07/17/00 & 07/07/00

Patent Application No.

Filing date

B. Patent No.(s)

09/635199

Additional numbers

Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mitchell W. Shapiro

Registration No. 31,568

Address: Vorys, Sater, Seymour and Pease LLP

1828 L Street, N. W.

~~Eleventh Floor~~

City: Washington

State/Prov.: D.C.

Country: U.S.A.

ZIP: 20036-5109

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

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22-0585

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mitchell W. Shapiro

Name of Person Signing

Mitchell W. Shapiro

Signature

August 9, 2000

Date

Total number of pages including cover sheet, attachments, and

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After this document has been recorded, please return the same to:

ASSIGNMENT
(譲渡)

Mitchell W. Shapiro
Vorys, Sater, Seymour and Pease
1828 L Street N.W.
Eleventh Floor
Washington, D.C. 20036

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by HITACHI, LTD., a corporation organized under the laws of Japan, located at 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said HITACHI, LTD., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

OPTICAL TRANSMITTER AND OPTICAL COMMUNICATION SYSTEM

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said HITACHI, LTD., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said HITACHI, LTD.

Signed on the date(s) indicated aside our signatures:

INVENTOR(S)

(発明者フルネームサイン)

Date Signed

(署名日)

- | | |
|---------------------------|------------------|
| 1) <u>Masahiro Aoki</u> | <u>7/17/2000</u> |
| 2) <u>Katahiko Kuboki</u> | <u>7/7/2000</u> |
| 3) _____ | _____ |
| 4) _____ | _____ |
| 5) _____ | _____ |
| 6) _____ | _____ |
| 7) _____ | _____ |
| 8) _____ | _____ |
| 9) _____ | _____ |
| 10) _____ | _____ |