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OF COMMERCE

Patent and Trademark Office

**Recordation Form Cover Sheet**

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies):  
**Gail Wertz**  
**L. Andrew Ball**

2. Name and address of  
receiving party(ies):  
Name: **The UAB Research**  
**Foundation**  
Address: **701 20th Street South,**  
**Birmingham, AL 35294-0111**

Additional names of conveying party(ies) attached?  
☒ NO ☐ YES

3. Nature of Conveyance: Additional names & addresses attached?  
☒ Assignment ☐ Merger ☒ NO ☐ YES  
☐ Security Agreement  
☐ Change of Name  
☐ OTHER \_\_\_\_\_

Execution Date(s): July 14, 2000.

4. Application number(s) or patent number(s): **09/602,288**  
Additional numbers attached? ☒ NO ☐ YES

5. Name and address of party to whom correspondence concerning  
document should be mailed:  
Name: **Dr. Benjamin Adler**  
**McGREGOR & ADLER, LLP**  
Address: **8011 Candle Ln.**  
**Houston, Texas 77071**

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41):.....\$**40.00** ☒ enclosed ☐ authorized to charge deposit  
account

8. Deposit Account number: \_\_\_\_\_ (duplicate copy attached if paying by deposit  
account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct  
and any attached copy is a true copy of the original document.*

**Benjamin Aaron Adler Ph.D., J.D.**  
Name of person signing

 7/25/00  
Signature Date

Total number of pages comprising cover sheet: 1

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**PATENT**  
**REEL: 011029 FRAME: 0207**

# ASSIGNMENT

WHEREAS, We, **Gail Wertz** and **Andrew L. Ball**, both of 2845 Argyle Rd., Birmingham, AL 35213, (hereinafter collectively called "ASSIGNORS"), are co-inventors and owners of certain new and useful improvements in *Manipulation of Negative Stranded RNA Viruses by Rearrangement of Their Genes and Uses Thereof*, an application for United States Letters Patent as filed June 23, 2000 as United States Serial No. 09/602,288.

WHEREAS, **University of Alabama at Birmingham Research Foundation**, of 701 20<sup>th</sup> Street South, Birmingham, AL 35294-0111, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other

My Commission Expires:

NOTARY PUBLIC STATE OF ALABAMA AT LARGE  
MY COMMISSION EXPIRES: Jan. 22, 2003  
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legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: July 14, 2000

Gail Wertz  
Gail Wertz

STATE OF Alabama:

COUNTY OF Shelby:

Before me this 14 day of July, 2000, personally appeared Gail Wertz known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public [Signature]

My Commission Expires:

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DATE: July 14, 2000

L. A. Ball  
L. Andrew Ball

STATE OF Alabama:

COUNTY OF Shelby:

Before me this 14 day of July, 2000, personally appeared L. Andrew Ball known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public [Signature]

My Commission Expires:

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