

09-05-2000

Atty. Docket No.: CAPS 0125 PUS

8.22.00

FORM PTO-1595  
(Modified)101448912  
PATENTS ONLY

ER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Gregory C. Rouse  
Mike Swinton  
Dadjar ModjahedpourAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Capstone Turbine Corporation

Internal Address: \_\_\_\_\_

Street Address: 6430 Independence AvenueCity: Woodland Hills State: CA Zip: 91367Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: July 19, 2000

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 14 & 15, 2000

A. Patent Application No.(s)

B. Patent No.(s)

09643625

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher W. QuinnAddress: Brooks & Kushman P.C.1000 Town Center, Twenty-Second FloorCity: Southfield State: MI ZIP: 48075

08/30/2000 MGORDON 00000027 09643625

02 FC-581

40.00 DP

6. Total number of applications and patents involved: 17. Total Fee (37 C.F.R. § 3.41) ..... \$ 40.00☒ Enclosed☐ Authorized to be charged to Deposit Account8. Deposit Account Number: 02-3978

(Attach duplicate copy of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Christopher W. Quinn  
Name of Person Signing

Signature

August 22, 2000  
DateTotal number of pages including cover sheet, attachments, and document: 4Mail documents to be recorded with required cover sheet information to:  
Box Assignments, Director of the United States Patent and Trademark Office  
Washington, D.C. 20231PATENT  
REEL: 011034 FRAME: 0459

**ASSIGNMENT**

For the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, we GREGORY C. ROUSE, MIKE SWINTON, and DADJAR MODJAHEDPOUR, respectively, of 31928 Village Center Rd., Westlake Village, CA 91361, 2142 Santa Margarita Dr., Fallbrook, CA 92028, and 3536 Buena Vista Ave., Glendale, CA 91208, respectively, do hereby assign, sell and set over to CAPSTONE TURBINE CORPORATION, a corporation organized and existing under the laws of the State of California and having a place of business at 6430 Independence Avenue, Woodland Hills, California 91367, hereinafter referred to as the ASSIGNEE, its successors, assigns or other legal representatives, the entire right, title and interest, domestic and foreign, in and to the inventions and discoveries in

**SHROUDED ROTARY COMPRESSOR**

set forth in the application for United States Letters Patent executed by us on the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, and on the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, and on the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, respectively; or

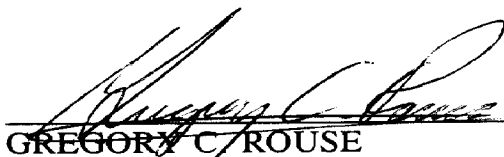
for which an application for a United States Patent was filed on \_\_\_\_\_, Application No. \_\_\_\_\_ (we hereby authorize our attorney to insert the application filing date and number when they become known),

including the right of said ASSIGNEE, its successors, assigns or other legal representatives to make applications and to receive Letters Patent for said inventions and discoveries in any and all foreign countries in its or their own name or names, or in our names, at its or their election, and we hereby assign, sell and set over to said ASSIGNEE, its successors, assigns or other legal representatives, all rights of priority in and to said inventions and discoveries in all countries, including all applications claiming benefit of the filing date hereof, continuations, continuations-in-part, divisionals, reexaminations and reissue applications.

And we hereby agree for ourselves, our heirs, successors, assigns or other legal representatives to execute any and all papers, including applications for Letters Patent of any and all kinds and in any and all countries and to perform any and all acts which said ASSIGNEE, its successors, assigns or other legal representatives may deem necessary to secure thereto the rights herein assigned, sold and set over.

And we hereby represent and warrant that we have not granted any rights inconsistent with the rights granted herein.

Date: July 19, 2000

  
GREGORY C. ROUSE

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me Gregory C. Rouse, to me known to be the person named in and who executed the above instrument, and acknowledged that he executed the same for the uses and purposes therein mentioned.

Notary Public  
My Commission expires:

(SEAL)

Date: 7/19, 2000

MIKE SWINTON

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me Mike Swinton, to me known to be the person named in and who executed the above instrument, and acknowledged that he executed the same for the uses and purposes therein mentioned.

Notary Public  
My Commission expires:

(SEAL)

Date: 07 - 19 -, 2000

Dadjar Modjahedpour  
DADJAR MODJAHEDPOUR

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me Dadjar Modjahedpour, to me known to be the person named in and who executed the above instrument, and acknowledged that he executed the same for the uses and purposes therein mentioned.

**Notary Public**  
**My Commission expires:**

(SEAL)



# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Los Angeles

ss.

On July 19, 2000, before me,

Date

before me,

Debbie Bernard, Notary Public

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared

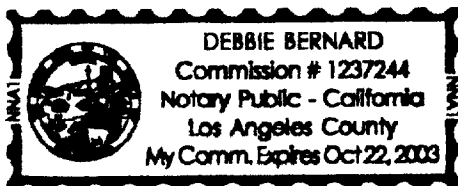
Gregory C. Rous, Dadjar Modjahedpour, & Mike Swinton

Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Debbie Bernard

Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer

Signer's Name: \_\_\_\_\_

☐ Individual

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here

Signer Is Representing: \_\_\_\_\_

DEBBIE BERNARD  
Commission # 1237244  
Notary Public - California  
Los Angeles County  
My Comm. Expires Oct 22, 2003