

09-06-2000

BOX ASSIGNMENTS



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9-18-00 PA 101450490

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS.  
SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

- 1. Wilhelmus H.J. BOESTEN
- 2. Quirinus B. BROXTERMAN
- 3. Marcus J.M. PLAUM
- 4.
- 5.
- 6.
- 7.
- 8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED?  YES  NO

JCS42 U.S. PTO  
09/641619

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: DSM N.V.

ADDRESS: Het Overloon 1, 6411 TE Heerlen, The Netherlands

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED?  YES  NO

09641619

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

- ASSIGNMENT OF  WHOLE  PART INTEREST
- ORIGINAL  FACSIMILE/PHOTOCOPY
- CHANGE OF NAME  VERIFIED TRANSLATION
- SECURITY  MERGER  OTHER:

EXEC. DATE: 1) 11/23/98; 2) 11/24/98; and 3) 11/23/1998

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (**NOTE:** IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) 1) 11/27/98; 2) 11/24/98; and 3) 11/23/98

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached?  YES  NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 <sup>st</sup> INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 <sup>st</sup> INVENTOR if not in item 1
09/unassigned	272802				

5. Name & Address of Party to Whom Correspondence Concerning Document Should be Mailed:

**Pillsbury Madison & Sutro LLP**  
Intellectual Property Group  
1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918

6. NUMBER INVOLVED:  
APPLNS 1 + PATS 0 = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)  
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 272802 9319US-DIV2  
MATTER NO. CLIENT REF.

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975  
UNDER ORDER NO 30268 272802  
dup. sheet not required CLIENT NO. MATTER NO

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this cover sheet, attachments and document (do not file dup. Cover sheet) 2

*Blair Elizabeth Taylor*  
Signature  
1 Reg 44,370

Attorney: Paul L. Sharer Date: August 18, 2000  
Reg. No. 36004  
Atty/Sec: PLS/LMR TEL: (202) 861-3649 FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

Please return signed/recorded

For Inventions made outside USA  
executed with or after application

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
Ninth Floor, East Tower  
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Washington, D.C. 20005-3918

USA Patent Appln.  
Sole or Joint

Atty. Dkt. PMS

9319 US

M#

Client Ref.

NONPROVISIONAL

ASSIGNMENT  
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT	(1) Wilhelmus H.J. Boesten	(2) Quirinus B. Broxterman
NAME(S) OF	(3) Marcus J.M. Plaum	(4)
INVENTOR(S)	(5)	<input type="checkbox"/> x box if continued on page 2

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT DSM N.V.  
NAME(S) OF Het Overloon 1, 6411 TE Heerlen, the Netherlands  
ASSIGNEE(S)  
& ADDRESS(ES)

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as:

TITLE OF INVENTION PROCESS FOR PREPARING OPTICALLY ACTIVE 2-AMINO- $\omega$ -OXOALKANOIC ACID DERIVATES

for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → → (A)  even date herewith  
(Complete) (B)  on \_\_\_\_\_  
line A, B and/or C) (C) X in U.S. Appln. No. 09/160,342 filed 25 September 1998

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE → → The undersigned hereby authorize(s) Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTORS	DATE SIGNED	WITNESSES
1): <u>Wilhelmus H.J. Boesten</u> Name: Wilhelmus H.J. Boesten	<u>23-11-1998</u>	<u>Joost Willeman</u>
2): <u>Quirinus B. Broxterman</u> Name: Quirinus B. Broxterman	<u>24-11-98</u>	<u>Joost Willeman</u>
3): <u>Marcus J.M. Plaum</u> Name: Marcus J.M. Plaum	<u>23-11-98</u>	<u>Joost Willeman</u>
4): _____ Name: _____	_____	_____
5): _____ Name: _____	_____	_____