

09-06-2000

Form 1595  
1-31-92 (modified)

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U.S. Department of Commerce  
Patent and Trademark Office

8.11.00

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PATENTS ONLYTo the Honorable Assistant Commissioner for Patents: Please record the attached  
original documents or copy thereof

1. Name of conveying party(ies): Patrick Rivelli, Jr.  Add'l names of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name/address of receiving party(ies):  Smart Therapeutics, Inc.  2551 Merced Street San Leandro, CA 94577  Add'l names of receiving parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Other <input type="checkbox"/> Change of Name <input type="checkbox"/> Reassignment	
4. Date of execution: <u>August 1, 2000</u>	

5. Application number(s) and/or patent number(s):  If this document is being filed with a new application, the date of signature by the first named inventor was: _____.	
A. Patent Application No.(s)  09/548,682 filed 13 April 2000	B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:  Iota Pi Law Group P.O. Box 60850 Palo Alto, CA 94306 (650) 324-0880	7. Total No. of applications and patents involved: <u>one (1)</u>
	8. Total fee (37 CFR \$3.41): <u>\$40.00</u> <input checked="" type="checkbox"/> Enclosed is check for \$40.00
	9. Total number of pages, including cover sheet, attachments and document: <u>4</u>

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10. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter J. Dehlinger  
Name of Person SigningPeter J. Dehlinger  
Signature8-8-2000  
Date

### Assignment

THIS ASSIGNMENT, by Patrick Rivelli, Jr., and (hereinafter referred to as the Assignor), residing at 1430 Middlefield Road, Palo Alto, California 94301, witnesseth:

WHEREAS, the said Assignor has invented certain new and useful inventions set forth in an application for Letters Patent of the United States entitled CATHETER-STENT DEVICE, bearing Serial No. 09/548,682 and filed on April 13, 2000;

WHEREAS, Smart Therapeutics, Inc., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 2551 Merced Street, San Leandro, California 94577 (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignor has sold, assigned, transfer and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues, reexaminations, or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

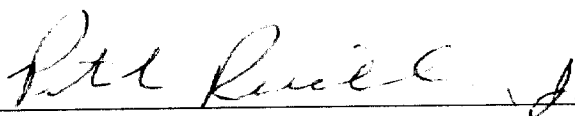
AND, for the same consideration, the said Assignor hereby covenants and agrees to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignor is the joint and lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors have good and full right and lawful authority to sell and convey the same in

the manner herein set forth.

AND, for the same consideration, the said Assignor hereby covenants and agrees to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignor will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date: 8/1/00

  
Patrick Rivelli, Jr.

WITNESSES TO SIGNATURE BY **Patrick Rivelli, Jr.**

1.

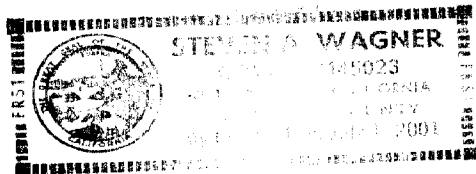
_____ Signature	_____ Date
_____ Print Name	
_____ Street Address	
_____ City, State & Zip Code	

2.

_____ Signature	_____ Date
_____ Print Name	
_____ Street Address	
_____ City, State & Zip Code	

STATE OF CALIFORNIA  
COUNTY OF SAN MATEO

On 8/11/00 before me, STEVEN A. WAGNER, personally appeared **Patrick Rivelli, Jr.**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

\_\_\_\_\_  
Signature

(Seal)

Note: May be notarized in lieu of witnessing.

\* \* \* \* \*