

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

09-06-2000

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101450444

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached
Execution Date
Month Day Year
Name (line 1) 09 17 99
Name (line 2)

Second Party

Execution Date
Month Day Year
Name (line 1) 09 17 99
Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached
☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) SeaSound, LLC
Name (line 2)
Address (line 1) 2955 Kerner Boulevard
Address (line 2)
Address (line 3) San Rafael California 94901
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name
Address (line 1)
Address (line 2)
Address (line 3)
Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 011037 FRAME: 0454

Correspondent Name and Address

Area Code and Telephone Number (214) 740-8000

Name Monty L. Ross

Address (line 1) Locke Liddell & Sapp LLP

Address (line 2) 2200 Ross Avenue, Suite 2200

Address (line 3) Dallas, TX 75201

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

29/111161

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
09 17 99

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:
Deposit AccountEnclosed ☒Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

12-1781

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Monty L. Ross

Name of Person Signing

Signature

Date

**RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY**

U.S. Department of Commerce
Patent and Trademark Office
PATENT

NOV 08 1999

Conveying Party(ies)

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Execution Date
Month Day Year

Name (line 1) Lee Ferguson

09 17 99

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, we, THOMAS E. OBERHEIM, a citizen of the United States of America and a resident of Orinda, California, RYAN KALLAS, a citizen of the United States of America and a resident of Richmond, California, and LEE FERGUSON, a citizen of the United States of America and a resident of San Jose, California have invented certain new and useful improvements in a "HARD DISK RECORDING INTERFACE" for which we are about to make application for United States Letters Patent, the said application having been executed and filed concurrently herewith; and

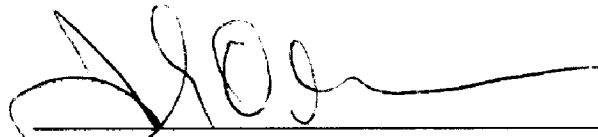
WHEREAS, SEASOUND, LLC, a limited liability company of the State of Texas, having offices at 2955 Kerner Boulevard, San Rafael, California 94901 (hereinafter referred to as "ASSIGNEE"), desires to acquire our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may be granted therefore, and provisionals and any application converted from a provisional, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representatives, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

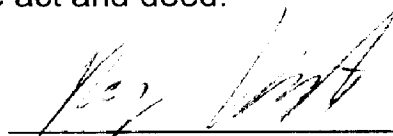
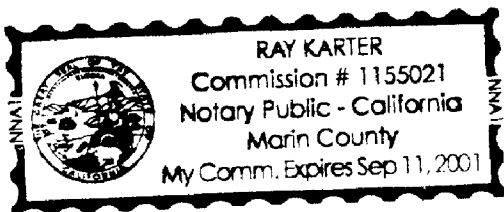
EXECUTED this 17 day of September, 1999.



THOMAS E. OBERHEIM

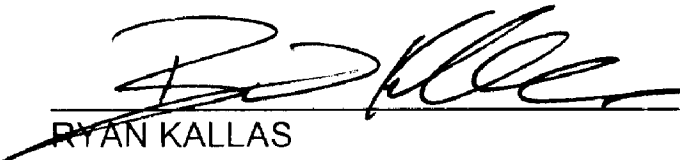
STATE OF CALIFORNIA §
 §
COUNTY OF Marin §

On this 17th day of September, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared THOMAS E. OBERHEIM, known by me to be the person of the above name, who signed the foregoing instrument, and acknowledged the same to be his own free act and deed.



Notary Public
My Commission Expires: Sept 11, 2001

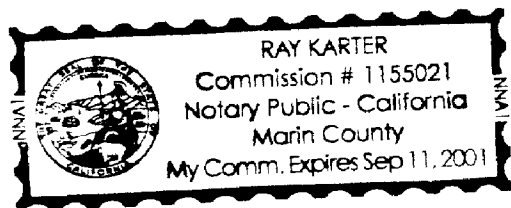
EXECUTED this 17 day of September, 1999.



RYAN KALLAS

STATE OF CALIFORNIA §
 §
COUNTY OF Marin §

On this 17th day of September, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared RYAN KALLAS, known by me to be the person of the above name, who signed the foregoing instrument, and acknowledged the same to be his own free act and deed.





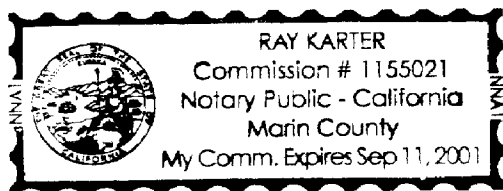
Notary Public
My Commission Expires: Sept 11, 2001

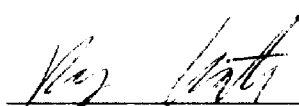
EXECUTED this 17th day of September, 1999.


LEE FERGUSON

STATE OF CALIFORNIA §
COUNTY OF Marin §
 §

On this 17 day of September, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared LEE FERGUSON, known by me to be the person of the above name, who signed the foregoing instrument, and acknowledged the same to be his own free act and deed.





Notary Public
My Commission Expires: Sept 11, 2001