

09-07-2000

Docket No.: 20467/111688

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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ET U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101451305

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Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Klaus BEHRINGER

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: F. HOFFMANN-LA ROCHE AG

Address: 124 Grenzacherstrasse

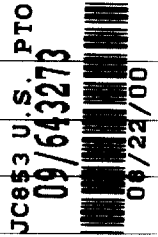
City: CH-4070 Basle

State/Prov.:

Country: SWITZERLAND

ZIP:

Additional name(s) & address(es)  Yes  No



3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: June 28, 2000

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: June 28, 2000

Patent Application No. Filing date

B. Patent No.(s)

09 643 273

Additional numbers  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark E. Waddell

Registration No. 31,803

Address: BRYAN CAVE LLP

245 Park Avenue

City: New York State/Prov.: NY

Country: USA ZIP: 10167-0034

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

02-4467

09/07/2000 NTHA11 00000097 09643273

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin C. Hooper

Name of Person Signing

Signature

August 21, 2000

Date

2

Total number of pages including cover sheet, attachments, and

# Assignment

WHEREAS, I/WE

**Klaus Behringer**

the ASSIGNOR(S), have invented certain new and useful improvements in

## **PREPARATION OF CYCLOACID**

which are described and claimed in application for United States Letters Patent in respect of which a Declaration and Power of Attorney was executed on

**June 28, 2000**

which application is based upon the following foreign application(s)

**in Europe on August 27, 1999 under No. 99116967.3**


NOW, THEREFOR, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations to me (us) in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I/we have sold, assigned and set over, and by these presents do hereby sell, assign and set over unto

**F. Hoffmann-La Roche AG, a Swiss Company  
of 124 Grenzacherstrasse, CH-4070 Basle (Switzerland) the Assignee**

and the said Assignee's legal representatives, successors and assigns, the entire right, title and interest, in and to the said invention within the United States of America and its territories and possessions, and in and to said application and the Letters Patent of the United States of America that may be granted therefor, together with the right to extend the protection thereof to the various territories and possessions now owned or which may be acquired hereafter by the United States of America; and I/we do hereby request and authorize the Commissioner of Patents to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this assignment.

UPON SAID CONSIDERATION, I/we do hereby covenant and agree with the said Assignee that I/we will not execute any writing or do any act whatsoever conflicting with these presents, and that I/we will at any time upon request without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued, or extended Letters Patent of the United States of America on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s) at Basle, Switzerland, on  
**June 28, 2000.**

  
Klaus Behringer