

RECORD

09-07-2000



Docket No. 8CL-7198

101450935

To the Honorable Commissioner of Patents and T

Original documents or copy thereof.

1. Name of conveying party(ies): Date Assignment Executed 2. Name and address of receiving party(ies):
- |                                  |            |                          |
|----------------------------------|------------|--------------------------|
| A) Johannes Jacobus van Heijkant | 07/25/2000 | General Electric Company |
| B) Christopher Poirier           | 08/23/2000 | 1 River Road             |
| C) Tomoaki Shimoda               | 07/10/2000 | Schenectady, NY 12345    |
| D) Akio Kanezawa                 | 07/10/2000 |                          |
| E) Carlos Godinez Seoane         | 07/07/2000 |                          |
- Handwritten: 9.1.00*

Additional name(s) of conveying party(ies) attached? \_\_\_ Yes X No

3. Nature of conveyance:
- X Assignment \_\_\_ Merger  
\_\_\_ Security Agreement \_\_\_ Change of Name  
Other \_\_\_\_\_

Execution Date: Please See Above

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/567,826 (filed 05/09/2000) B. Patent No.(s)

Additional numbers attached? \_\_\_ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed: 6. Total number of applications and patents involved: 1

Frank A. Smith  
GE Plastics  
One Plastics Avenue  
Pittsfield, MA 01201

7. Total fee (37 CFR 3.41)..... \$ 40.00  
\_\_\_ Enclosed  
X Authorized to be charged to deposit account

09/06/2000 GTEFFERA 00000058 070862 09567826  
02 FD:581 40.00 CH

8. Deposit account number: 07-0862  
(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank A. Smith  
Attorney Name

Frank A. Smith  
Signature

8/30/00  
Date

Total number of pages including cover sheet, attachments and document: 13

FAS/et

PATENT  
REEL: 011040 FRAME: 0562

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Johannes Jacobus van Heijkant of C. Navarra 2-2A, Murcia, Spain 30005, Christopher Poirier of 8545 Wilton Way, Evansville, IN 47725, Tomoaki Shimoda of C22-404, Mitsui-Yushunishi-Sha 2-5, Yushudainishi, Ichihara City, 299-0125 Chiba Prefecture, Japan, Akio Kanezawa of Kuranamidai 6-14-10, Sodegaura City, Chiba Prefecture, Japan and Carlos Godinez Seoane of C/San Marino, 34 Bajo., Pol. Res. Santa Ana, Cartagena (Murcia) 30319, Spain, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in **METHOD FOR PREPARATION OF POLYCARBONATES** invented by us, as described in the application for United States Patent Serial No. 09/567,826, filed on May 9, 2000, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

25 july, 2000  
Date

Johannes Jacobus van der Heijkant  
Johannes Jacobus van Heijkant

25 July, 2000  
Date

Klaus-Martin Verbaas  
WITNESSED

25 JULY, 2000  
Date

Laura Palacios Alonso  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Christopher Poirier

STATE OF INDIANA )  
                          ) ss:  
COUNTY OF POSEY )

This \_\_\_\_ day of \_\_\_\_\_, 2000, before me personally came the  
above-named, Christopher Poirier to me personally known as the individual who  
executed the foregoing assignment, who acknowledged to me that he executed the same  
of his own free will for the purposes therein set forth.

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Tomoaki Shimoda

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Akio Kanezawa

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Carlos Godinez Seoane

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Johannes Jacobus van Heijkant of Plaza de la Seda 8-4A, Murcia, Spain 30009, Christopher Poirier of 8545 Wilton Way, Evansville, IN 47725, Tomoaki Shimoda of C22-404, Mitsui-Yushunishi-Sha 2-5, Yushudainishi, Ichihara City, 299-0125 Chiba Prefecture, Japan, Akio Kanazawa of Kuranamidai 6-14-10, Sodegaura City, Chiba Prefecture, Japan and Carlos Godinez Seoane of C/San Marino, 34 Bajo., Pol. Res. Santa Ana, Cartagena (Murcia) 30319, Spain, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in **METHOD FOR PREPARATION OF POLYCARBONATES** invented by us, as described in the application for United States Patent Serial No. 09/567,826, filed on May 9, 2000, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Johannes Jacobus van Heijkant

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date


\_\_\_\_\_  
WITNESSED

8 - 23 - 00, 2000  
Date

  
Christopher Poirier

STATE OF INDIANA )  
                                  ) ss:  
COUNTY OF POSEY )

This 23 day of August, 2000, before me personally came the above-named, Christopher Poirier to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

  
my COMMISSION EXPIRES 12-18-2000

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Tomoaki Shimoda

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Akio Kanezawa

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Carlos Godinez Seoane

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

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\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Johannes Jacobus van Heijkant

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED



\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Christopher Poirier

STATE OF INDIANA )  
                                  ) ss:  
COUNTY OF POSEY )

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July 10, 2000  
Date

Tomoaki Shimoda  
Tomoaki Shimoda

July 10, 2000  
Date

Takao Kimura  
WITNESSED

July 10, 2000  
Date

Satoshi Omori  
WITNESSED

July 10, 2000  
Date

Akio Kanezawa  
Akio Kanezawa

July 10, 2000  
Date

Takao Kimura  
WITNESSED

July 10, 2000  
Date

Satoshi Omori  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Carlos Godinez Seoane

\_\_\_\_\_, 2000  
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\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Johannes Jacobus van Heijkant

\_\_\_\_\_, 2000  
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WITNESSED

\_\_\_\_\_, 2000  
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WITNESSED

\_\_\_\_\_, 2000  
Date

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                          ) ss:  
COUNTY OF POSEY )

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\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Tomoaki Shimoda

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
Akio Kanezawa

\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

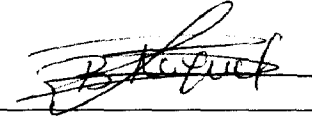
\_\_\_\_\_, 2000  
Date

\_\_\_\_\_  
WITNESSED

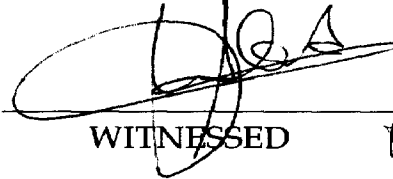
July, 7th, 2000  
Date

  
Carlos Godinez Seoane

July, 7th, 2000  
Date

  
WITNESSED BEATRIZ MIGUEL

July, 7th, 2000  
Date

  
WITNESSED MERCEDES ALSOLD