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**Conveying Party(ies)**

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2. YUMOTO, Hiroyuki
3. IGI, Masami
4. \_\_\_\_\_
5. \_\_\_\_\_
6. \_\_\_\_\_

**Execution Date(s)**

June 22, 2000

June 22, 2000

June 22, 2000

☐ Mark if Additional Names of Conveying Parties Attached**Receiving Party**

Name Sumika Fine Chemicals Co., Ltd.

Name \_\_\_\_\_

Address 1-21, Utajima 3-chome, Nishiyodogawa-ku

Address \_\_\_\_\_

Address Osaka-shi Osaka/Japan 555-0021

City State/Country Zip Code

☐ Mark if Additional Names of Receiving Parties Attached**Correspondent Name and Address**

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Attorney Docket No. 205899

**Pages** Enter the total number of pages of the attached conveyance document including any attachments: 2**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Numbers****Patent Numbers**

09/621,782

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**Enter PCT application number *only* if a U.S. Application Number has not been assigned.

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**Number of Properties**

Enter the total number of properties involved: 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$40.00

Method of Payment:

- ☒ Enclosed is a check in the amount of \$40.00
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Authorization to Charge Additional Fees to Deposit Account No. 12-1216: ☒ Yes ☐ No**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John Kilyk, Jr., Reg. No. 30,763

Name of Person Signing

Signature

August 4, 2000

Date

09/06/2000 JSHABAZZ 00000078 09621782

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**PATENT**

**REEL: 011041 FRAME: 0826**

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Chicago, Illinois 60601-6780

**ASSIGNMENT OF APPLICATION FOR PATENT**

**WHEREAS, WE,**

Shigeya YAMAZAKI of c/o Sumika Fine Chemicals Co., Ltd. Central Research Laboratories,  
1-21, Utajima 3-chome, Nishiyodogawa-ku, Osaka-shi, Osaka 555-0021 Japan

(full name and address, including postal code, of first inventor)

Hiroyuki YUMOTO of c/o Sumika Fine Chemicals Co., Ltd. Central Research Laboratories,  
1-21, Utajima 3-chome, Nishiyodogawa-ku, Osaka-shi, Osaka 555-0021 Japan

(full name and address, including postal code, of second inventor)

Masami IGI of c/o Sumika Fine Chemicals Co., Ltd. Central Research Laboratories,  
1-21, Utajima 3-chome, Nishiyodogawa-ku, Osaka-shi, Osaka 555-0021 Japan

(full name and address, including postal code, of third inventor)

(full name and address, including postal code, of fourth inventor)

(full name and address, including postal code, of fifth inventor)

respectively, have invented and own a certain new and useful invention entitled:

**HIGHLY PURE PHENOTHIAZINE COMPOUND, PRODUCTION METHOD THEREOF, PRODUCTION METHOD OF INTERMEDIATE THEREFOR, AND HYDRATE AND NOVEL CRYSTAL AS STARTING MATERIALS FOR THE INTERMEDIATE**

for which invention we have executed an application for Letters Patent of the United States which was filed on July 21, 2000, under Serial No. 09/621,782; and

**WHEREAS,**

Sumika Fine Chemicals Co., Ltd. of  
1-21, Utajima 3-chome, Nishiyodogawa-ku, Osaka-shi, Osaka 555-0021 Japan

(full name and address, including postal code, of assignee)

(hereinafter referred to as Assignee) is desirous of acquiring the entire right, title, and interest in and under the invention described in the application for Letters Patent.

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:** Be it known that for good and valuable considerations, the receipt and sufficiency of which are hereby acknowledged, we have assigned and set over, and by this document do assign and set over, to the Assignee and the Assignee's legal representatives, successors and assigns the entire right, title, and interest in and to the invention in the United States the applications for Letters Patent and other such applications (e.g., continuations, continuations-in-part, divisionals and reissues) in the United States, and the Letters Patent, or extensions thereof, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue the Letters Patent to the above-mentioned Assignee agreeably with the terms of this Assignment.

WE HEREBY AUTHORIZE the above-mentioned Assignee to insert in this assignment document the filing date and serial number of the application if the date and number are unknown at the time this assignment is executed.

WE DO HEREBY COVENANT and agree with the Assignee that we will not execute any writing or do any act whatsoever conflicting with the terms of this assignment document set forth herein, and that we will at any time upon request, without further or additional consideration, but at the expense of the Assignee, execute such additional assignments and other writings and do such additional acts as the Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, renewal, reissued, or extended Letters Patent of the United States or of any and all foreign countries on the invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunder set our hands on the dates shown below:

Shigeya Yamazaki  
Signature of First Inventor Shigeya YAMAZAKI

June 22, 2000  
Date

Hiroyuki Yumoto  
Signature of Second Inventor Hiroyuki YUMOTO

June 22, 2000  
Date

June 22, 2000  
Signature of Third Inventor Masami IGI

Masami Igi  
Date

Signature of Fourth Inventor

Date

Signature of Fifth Inventor

Date

Susumu Nishizawa June 22, 2000  
Witness Susumu Nishizawa Date

Mitsuyo Sugi June 22, 2000  
Witness Mitsuyo Sugi Date

Susumu Nishizawa June 22, 2000  
Witness Susumu Nishizawa Date

Mitsuyo Sugi June 22, 2000  
Witness Mitsuyo Sugi Date

Susumu Nishizawa June 22, 2000  
Witness Susumu Nishizawa Date

Mitsuyo Sugi June 22, 2000  
Witness Mitsuyo Sugi Date

Witness Date

Witness Date

Witness Date

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