

09-08-2000



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**Conveyance Type**

Assignment  Security Agreement

License  Change of Name

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10957 U.S. PTO  
09/648019  
08/25/00

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name (line 1) Kenneth Y. Maxham

Execution Date  
Month Day Year  
8 25 00

Name (line 2) \_\_\_\_\_

**Second Party**

Name (line 1) \_\_\_\_\_

Execution Date  
Month Day Year

Name (line 2) \_\_\_\_\_

09/28/00

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1) Alcatel USA Sourcing, L.P.

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) \_\_\_\_\_

Address (line 1) 1000 Coit Road

Address (line 2) M/S LEGL2

Address (line 3) Plano Texas 75075-5813

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name \_\_\_\_\_

Address (line 1) \_\_\_\_\_

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Address (line 4) \_\_\_\_\_

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**PATENT**  
**REEL: 011041 FRAME: 0912**

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. 

Month	Day	Year
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Martin Korn



8/25/00

Name of Person Signing

Signature

Date

**ASSIGNMENT**

WHEREAS, I, Kenneth Y. Maxham, a citizen of the United States of America and a resident of Richardson, in the State of Texas, have invented certain new and useful improvements in

**SAFETY SHUTDOWN SYSTEM FOR A WDM FIBER OPTIC  
COMMUNICATIONS SYSTEM**

for which I am about to make application for United States Letters Patent; and

WHEREAS, Alcatel USA Sourcing, L.P., a limited partnership of the State of Texas, which has a business address of 1000 Coit Road, Plano, Texas 75075-5813 (hereinafter referred to as "Assignee"), is desirous of acquiring my entire right, title and interest in and to the invention, and in and to said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto said Assignee, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interests are concerned, to said Assignee of my entire right, title and interest.

I also hereby sell and assign to said Assignee, its successors, assigns and legal representatives the full and exclusive right, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee, its successors, assigns and legal representatives.

I hereby further agree that I will communicate to said Assignee, or to its successors, assigns and legal representatives, any facts known to me respecting any improvements, and, at the expense of said Assignee, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said Assignee and to aid said Assignee, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

**Name of Sole Inventor:**

Inventor's Name: Kenneth Y. Maxham

Residence (City, State): Richardson, Texas

Signature: Kenneth Y. Maxham

Date: Aug. 25, 2000

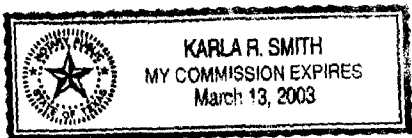
Date Application Executed: Aug. 25, 2000

STATE OF TEXAS §

COUNTY OF DALLAS § ss:

BEFORE ME, a Notary Public, on this 25<sup>th</sup> day of AUGUST, 2000, personally appeared Kenneth Y. Maxham, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed same of his own free will for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL of office this 25<sup>th</sup> day of AUGUST, A.D., 2000.



Karla R. Smith  
Notary Public

My Commission Expires: