

09-08-2000

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101452477

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

MRD 8.24.00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ **New**
- ☐ **Resubmission (Non-Recordation)**
Document ID#
- ☐ **Correction of PTO Error**
Reel # Frame #
- ☐ **Corrective Document**
Reel # Frame #

Conveyance Type

- ☒ **Assignment** ☐ **Security Agreement**
- ☐ **License** ☐ **Change of Name**
- ☐ **Merger** ☐ **Other**
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ **Departmental File** ☐ **Secret File**

10662 U.S. PTO
09/16/03
09/16/03

Conveying Party(ies)

☒ **Mark if additional names of conveying parties attached**

Execution Date
Month Day Year

Name (line 1) **LIM, Beng-Hong**

08 18 2000

Name (line 2)

Execution Date
Month Day Year

Second Party

Name (line 1) **LE, Bich C.**

08 18 2000

Name (line 2)

09/16/03

Receiving Party

☐ **Mark if additional names of receiving parties attached**

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) **VMware, Inc.**

Name (line 2)

Address (line 1) **3145 PORTER DRIVE**

Address (line 2) **BLDG. F**

Address (line 3) **PALO ALTO**

CA

94304

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011042 FRAME: 0105

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) BUGNION, Edouard

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

08 18 2000

Execution Date
Month Day Year

Execution Date
Month Day Year

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

| | | |
|--|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |

Patent Number(s)

| | | |
|--|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |

Correspondent Name and Address

Area Code and Telephone Number **360-793-6687**

Name **JEFFREY SLUSHER**

Address (line 1) **34825 SULTAN-STARTUP RD.**

Address (line 2) **SULTAN, WA 98294**

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

| | | | | | |
|--|--|--|--|--|--|
| | | | | | |
| | | | | | |
| | | | | | |

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
08 18 2000

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

JEFFREY SLUSHER

Jeffrey Slusher

24 August 2000

Name of Person Signing

Signature

Date

REG. NO. 34,729

ASSIGNMENT

We,

Beng-Hong LIM, residing at 540 Barron Street, Menlo Park, CA 94025, USA,
a citizen of the USA;

Bich C. LE, residing at 3313 Pomerado Way, San Jose CA 95135, USA, a citizen
of the USA; and

Edouard BUGNION, residing at 255 S. Rengstorff #65, Mountain View, CA
94040, USA, a citizen of the USA;

are the inventors of the invention disclosed in the patent application
("Application") enclosed herewith, entitled:

Deferred Shadowing of Segment Descriptors in a Virtual Machine Monitor for a Segmented Computer Architecture

which was executed on (dates):

8/18/2000
Beng-Hong LIM

8/18/2000
Bich C. LE

8/18/2000
Edouard BUGNION

VMware, Inc. ("VMware") a corporation organized and existing under the laws of
the State of Delaware, USA, having a principal place of business at:

3145 Porter Drive, Building F
Palo Alto, CA 94304
USA

desires to acquire the entire right, title and interest in and to the Invention, the
Application, and to any patents or inventor's certificates to be obtained in any countries
based on the Invention, and any improvements thereon.

THEREFORE, for good and valuable consideration, receipt of which we hereby
acknowledge, we:

1. Assign and transfer to VMware, its successors, assigns and legal
representatives, the entire right, title and interest in and to the Invention and the
Application, and all divisional, continuing, substitute, renewal, reissue, and other
applications for patents, or inventor's certificates, which have been or may be filed in any
country based on the Invention;

2. Agree that the foregoing rights conveyed to VMware include all priority
rights arising from the filing of the Application, the right to own in its own name the
Application and all patents and inventor's certificates in all countries, and other


applications for patents or inventor's certificates, based on the Invention, and further the sole right to file applications for foreign patents and inventor's based on the Invention;

3. Agree to execute all documents requested by VMware and its successors, assigns and legal representatives regarding the filing and prosecution of all applications for patents and inventor's certificates, enforcement of all patents, and maintenance of all rights in the Invention, patents, inventor's certificates and applications for patents or inventor's certificates based on the Invention;

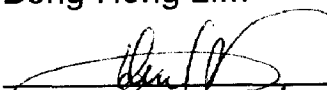
4. Upon the request of VMware and its successors, assigns and legal representatives, and at their expense, regarding the filing and prosecution of all applications for patents and inventor's certificates, enforcement of all patents, and maintenance of all rights in the Invention, patents, inventor's certificates and applications for patents or inventor's certificates based on the Invention, agree to provide to VMware and its successors, assigns and legal representatives all information known to or ascertainable by us and all documents and other materials and objects pertaining to VMware's rights in the Invention that are in the possession of or accessible to us; and further, at any trial, hearing, deposition or other legal proceeding where we are called as witnesses by VMware or its successors, assigns and legal representatives, we agree to testify to all facts pertaining to VMware's rights in the Invention for which we are competent to testify;

5. Warrant and represent that the Invention is our property, and that we have conveyed no assignment, grant, lien, mortgage, license or other encumbrance affecting the Invention to any other person; that, to our knowledge, no such conveyance exists otherwise; and that we have the full power to convey the rights assigned in this Assignment.

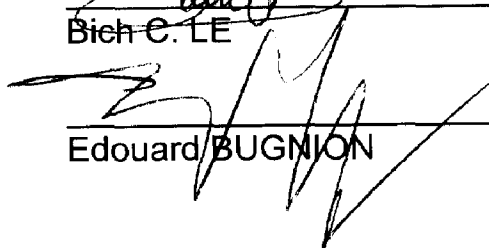
Date: 8/18/2000


Beng-Hong LIM

Date: 8/18/2000


Bich C. LE

Date: 8/18/2000


Edouard BUGNION