

09-08-2000

8.9.00



To the Honorable Commissione.

101452296

ne attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Yutaka KOBAYASHI and Shozo YOKOYAMA

## 2. Name and address of receiving party(ies):

Name: KOBELCO CONSTRUCTION  
MACHINERY CO., LTD.Address: 12-4, Gion 3-chome,  
Asaminami-ku, Hiroshima-shi,  
Hiroshima 731-0138 JapanAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other : Corrected Recordation Form Cover Sheet  
 Reel/Frame 010738/0740, Bar Code Number \*101340797A\*,  
 to correct the 1st assignor's name.

Execution Date: October 1, 1999

Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/421,218

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:



22850

## 6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

C. Irvin McClelland

Name of Person Signing

Registration Number: 21,124

Signature

Surinder Sachar

Registration No. 34,423

8-9-00

Date

Total number of pages including this cover sheet: 6

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

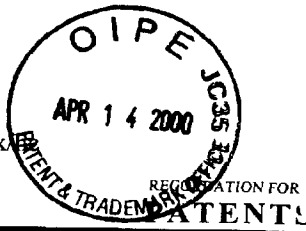
09/08/2000 NTHAI1 00000058 09421218

01 FC:581

40.00 00

4/14/00

Atty Docket No.: 0023-1733-3X

FORM PTO-1595  
1-31-92

05-02-2000



101340797

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Yukata KOBAYASHI and Shozo YOKOYAMA

## 2. Name and address of receiving party(ies):

Name: KOBELCO CONSTRUCTION  
MACHINERY CO., LTD.Address: 12-4 Gion 3-chome,  
Asaminami-ku, Hiroshima-shi  
Hiroshima 731-0138 JapanAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: October 1, 1999

Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/421,218

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

OBLON, SPIVAK, McCLELLAND, MAIER &  
NEUSTADT, P.C.  
Attorneys at Law  
Fourth Floor  
1755 Jefferson Davis Highway  
Arlington, Virginia 22202

## 6. Total applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

C. Irvin McClelland

Name of Person Signing

Registration Number: 21,124

Signature

Surinder Sachar  
Registration No. 34,423

4-14-00

Date

Total number of pages including this cover sheet: 3

05/01/2000 DC00TES 00000195 09421218

01 FC:581

40.00 CP

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 011042 FRAME: 0627

09/421,218

# ASSIGNMENT OF APPLICANT

WHEREAS I (WE) Yutaka KOBAYASHI, Shozo YOKOYAMA of c/o Okubo Plant in KOBELCO CONSTRUCTION MACHINERY CO.,LTD.Yagi 740, Okubo-cho,Akashi-shi, Hyogo674-0063 Japan, respectively, have invented certain new and useful improvements in:

## CRAWLER CRANE

for which an application for Letters Patent was executed on October 1, 1999 (Application No. 09/421,218)  
filed October 20, 1999 and

WHEREAS, KOBELCO CONSTRUCTION MACHINERY CO.,LTD. having a place of business at: 12-4, Gion 3-chome,Asaminami-ku, Hiroshima-shi,Hiroshima 731-0138 Japan, (hereinafter referred to as "ASSIGNEE") is/are desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behalf of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

09/421,218

EXECUTED AT : Hyogo, Japan

Date October 1, 1999

*Yutaka Kobayashi*

Yutaka KOBAYASHI

Date October 1, 1999

*Shozo Yokoyama*

Shozo <sup>8.24</sup>YOKOYAMA

8.24

23-1733-3x



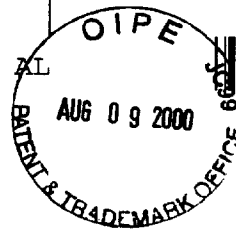
**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 28, 2000

PTAS

OBLON, SPIVAK, MCCLELLAND, MAIER & ET AL  
C. IRVIN MCCLELLAND, ATTORNEYS AT LAW  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VIRGINIA 22202



\*101340797A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/14/2000

REEL/FRAME: 010738/0740  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KOBAYASHI, YUKATA

DOC DATE: 10/01/1999

ASSIGNOR:  
YOKOYAMA, SHOZO

DOC DATE: 10/01/1999

ASSIGNEE:  
KOBELCO CONSTRUCTION MACHINERY  
CO., LTD.  
12-4 GION 3-CHOME  
ASAMINAMI-KU, HIROSHIMA-SHI  
HIROSHIMA, JAPAN 731-0138

SERIAL NUMBER: 09421218  
PATENT NUMBER:

FILING DATE: 10/20/1999  
ISSUE DATE:

**RECEIVED**

JUL 05 2000

OBLON, SPIVAK, MCCLELLAND  
MAIER & NEUSTADT, P.C.

**PATENT  
REEL: 011042 FRAME: 0630**

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS