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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

To the Honorable Commission of Facents a original documents or copy thereof.	322/3 and Trademarks: Please record the attached
1. Name of conveying party(ies):  John Rees  Additional name(s) of conveying party(ies) attached? [] Yes [x] No	3. Nature of conveyance:  [x] Assignment [] Merger  [] Security Agreement [] Change of Name  [] Other  Execution Date: July 19, 2000
2. Name and address of receiving party: Name: Clinical Diagnostic Chemicals Limited Address: Unit 4, 5 Cae Ffwt Business Park, Glan Conwy, Conwy LL28 5SP, UNITED KINGDOM Additional name(s) & address(es) attached? [ ] Yes [x] No	Type of Submission:  [X] New  [] Resubmission (Non-Recordation)  Document ID #  [] Correction of PTO Error  Reel # Frame #  [] Corrective Document  Reel # Frame #
4. Application number(s) or patent number(s) 09/620,040	
If this document is being filed together w the application is	with a new application, the execution date of
A. Patent or PCT Application No.(s)	B. Patent No.(s)
Additional numbers attached? [ ] Yes	[x] No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Oppedahl & Larson LLP P.O. Box 5068 Dillon, CO 80435-5068	7. Total fee (37 CFR 3.41): \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
	8. Deposit account number:
	(Attach duplicate copy of this page)
9. Statement and signature To the best of my knowledge and belief, correct and any attached copy is a true	e copy of the original document.
	os/04/2000 Signature Os/04/2000 Date  ong assignment and cover sheet: 2
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Public burden reporting for this sample cover sheet is estimated to avenue the document and gather the data needed, and completing and reviewing U.S. Patent and Trademark Office, Office of Information Systems, PK Paperwork Reduction Project (0651-0011), washington, D.C. 20503.	on, D.C. 20231 erage about 30 minutes per document to be recorded, including time for revie g the sample cover sheet. Send comments regarding this burden estimate to 2-1000C, washington, D.C. 20231, and to the office of Management and Bu
TORRE WITHER A BARAGAME AND TARKE	FORM COVER SHEET  Patent and Trademark O

01 F 591 40.00 P To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<u>ASSIGNMENT</u>

WHEREAS the inventor, John Rees, a British citizen of 29 Plas Tudno, Llandudno, LL30

3ER, United Kingdom has invented "Apparatus for Collecting a Liquid Sample" to be filed

as a United States Patent Application in the name of John Rees; and

WHEREAS Clinical Diagnostic Chemicals Limited, a limited liability company organised

under the laws of England and Wales, having its registered office at Unit 4, 5 Cae Ffwt

Business Park, Glan Conwy, Conwy LL28 5SP, United Kingdom is desirous of acquiring an

interest therein

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable

consideration, the receipt of which is hereby acknowledged, the inventor, John Rees, by these

presents does sell, assign and transfer unto Clinical Diagnostic Chemicals Limited the entire

right, title and interest for the territory of the United States of America, in and to said

invention, as described in the specification executed by him preparatory to obtaining Letters

Patent of the United States therefor; said invention, application and Letters Patent to be held

and enjoyed by Clinical Diagnostic Chemicals Limited for its own use and behalf, and for its

legal representatives and assigns to the full end of term for which said Letters Patent may be

granted, as fully and entirely as the same would have been held by himself had this assignment

and sale not been made.

Executed at LLANDERNO, United Kingdom

this 19 day of July 2000.

John Rees

PATENT REEL: 011043 FRAME: 0282



AUG 0 7 2000 W

### URQU.P-006 PATENT APPLICATION

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rees

Serial No.: 09/620,040

Filed: July 20, 2000

For: Apparatus for Collecting a Liquid Sample

### SUBMISSION OF SMALL ENTITY STATEMENT

Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Enclosed are two duly executed statements claiming small entity status for the above-captioned application.

Respectfully Submitted,

Marina T. Larson

Attorney for Applicant

PTO Reg. No. 32,038

(970)468-6600

I haraby partify that this paper and the attuchments named herein are being denosited with the United St

I hereby certify that this paper and the attachments named herein are being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on <u>August 4</u>, 2000

August 4, 2000

Date of Signature

Stephanie G. Redenz

PATENT REEL: 011043 FRAME: 0283



Applicant Serial or Patent No Filed or Issued Title

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$\mathcal{J}_{\mathcal{J}}}}}}}}}}$	Attorney's
<b>3</b>	Docket No

Apparatus for Collecting a Liquid Sample

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35. United States Code, to the Patent and Trademark Office with regard to the invention entitled Diagnosis of Autoimmune Adrenal Disease described in

[X] the specification entitled "Apparatus for Collecting a Liquid Sample" to be filed as a United States Parent Application in the name of John Rees

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

	no such person, concern, or organization
[ x ]	persons, concerns or organizations listed below*

\*NOTE: Separate verified statements are required from each named person concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME	Clinical Diagnostic Chemicals Limited,				
ADDRESS	Unit 4, 5 Cae Ffwt Business Park, Glan Conwy, Conwy LL28 5SP, United Kingdom.				
	[ ] Individual	[x] Small Business Concern	[ ] Non-Profit Organization		

Lacknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed

John Rees Name of Inventor

Signature of Inventor

1970 July 2000



Applicant or Patentee Serial or Patent No. Filed or Issued For

Attorney's				
Docket No				
1 Michelle 1 10	 		 	

#### VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN

ı	hereby	decl	lare	that	1	am

the owner of the small business concern identified below:

 $\{x\}$ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN ADDRESS

Clinical Diagnostic Chemicals Limited.

Unit 4, 5 Cae Ffwt Business Park, Glan Conwy, Conwy LL28 5SP.

United Kingdom.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41 (a) and (b) of Title 35. United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed in a full-time, part-time or temporary basis during each of the pay periods of the fiscal year. and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, described in

the specification entitled "Apparatus for Collecting a Liquid Sample" to be filed as a United States Patent Application in the name of John Rees.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by an person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Lacknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING John Rees

TITLE OF PERSON OTHER THAN OWNER DIRECTOR

ADDRESS OF PERSON SIGNING 29 Plas Tudno Llandudno

LL30.3ER United Kingdom

SIGNATURE

RECORDED: 08/07/2000

John Rees

DATE 19 THEY ZOCO