

09-11-2000

REC



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8-21-00

101453060

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SHIBATA, Atushi  
SHINMI, Tsukasa

Additional name(s) of

conveying parties attached: Yes X No.

2. Name and address of receiving party(ies):

FUNAI ELECTRIC CO. LTD.

Internal Addresses: 7-1, 7-CHOME

NAKAGAITO, DAITO-SHI OSAKA, Japan

Street Addresses: 7-1, 7-CHOME NAKAGAITO, DAITO-SHI

City: OSAKA Country: JAPAN

3. Nature of conveyance:

X Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: April 10, 2000

Additional name(s)/address(es) attached: Yes X No.

4. Application number(s) or patent number(s):

Attorney Docket No.: P-9F 36.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? Yes X No.

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: HENRY A. MARZULLO, JR.

Internal Address: Penthouse Suite

Street Address: One Chase Road

City: Scarsdale State: NY Zip: 10583

6. Total number of applications and patents involved  
... ONE .

7. Total fee (37 CFR 3.41) ... \$ 40.00

X Enclosed (INCLUDED IN ATTACHED CHECK) 5-1-00  
FORM LETTER

Authorized to be charged to deposit account. WHERE  
THIS FEE

8. Deposit Account No.: 10-0100

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

HENRY A. MARZULLO, JR.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: THREE (3).

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments

Washington, D.C. 20231.

REC

DET

05-19-2000



101361622

5.1.00

To the Honorable Commissioner of Patents and Trademarks: Please return attached original documents or copy thereof.

1. Name of conveying party(ies):  
Atushi SHIBATA, et al.

2. Name and address of receiving party(ies):  
FUNAI ELECTRIC CO. LTD.

Additional name(s) of  
conveying parties attached: Yes X No.

Internal Addresses: 7-1, 7-CHOME  
NAKAGAITO, DAITO-SHI OSAKA, Japan

3. Nature of conveyance:

X Assignment Merger  
Security Agreement Change of Name  
Other

Street Addresses: 7-1, 7-CHOME NAKAGAITO, DAITO-SHI  
City: OSAKA Country: JAPAN

Execution Date: April 10, 2000

Additional name(s)/address(es) attached: Yes X No.

4. Application number(s) or patent number(s): 09/562840

Attorney Docket No.: P-9F 36.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? Yes X No.

5. Name and address of party to whom correspondence  
concerning document should be mailed:

05/12/2000 SDAVIS 00080025 09362840

02 FIVE: HENRY A. MARZULLO, JR.

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7. Total fee (37 CFR 3.41) ... \$ 40.00 .

X Enclosed (INCLUDED IN ATTACHED CHECK)

Authorized to be charged to deposit account.

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HENRY A. MARZULLO, JR.

Name of Person Signing

Signature

Date

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Washington, D.C. 20231

5-1-00

## ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

declares that he/they is/are citizen(s) of the country of Japan residing respectively at:

Hereby sells, assigns and transfers to FUNAI ELECTRIC CO., LTD.

a corporation of the State of Japan, hereafter called the "Assignee", having a place of business at 7-1, 7-chome, Nakagaito, Daito-shi, Osaka, Japan

its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are described and claimed in the

☒ attached specification executed on April 10, 2000

☐ specification Serial No. \_\_\_\_\_ filed \_\_\_\_\_

☐ U.S. Letters Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
entitled: PINCH ROLLER BEARING STRUCTURE FOR MAGNETIC TAPE APPARATUS

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agrees that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenants with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

The undersigned further declares that all statements made herein of my (our) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable

by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such  
wful false statements may jeopardize the validity of the application or any patent issuing thereon.

DATE April 10, 2000

SHIBATA ATUSHI (L.S.)

DATE April 10, 2000

Shinmi Tsukasa (L.S.)

DATE \_\_\_\_\_

\_\_\_\_\_ (L.S.)

DATE \_\_\_\_\_

\_\_\_\_\_ (L.S.)

\_\_\_\_\_  
WITNESSES' SIGNATURE

\_\_\_\_\_  
Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
PRINT WITNESSES' NAME

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WITNESSES' SIGNATURE

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WITNESSES' SIGNATURE

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City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
PRINT WITNESSES' NAME

This assignment should also preferably be signed before a United States Consul. If not, execution by all  
of the inventor(s) should be witnessed before and by at least two witnesses who sign here.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

HENRY A. MARZULLO, JR.

Dated:

Applicant hereby petitions that any and all extensions of the term necessary to render this response timely be granted. Costs for such extension(s) and or any other fee due with this paper, not fully covered by an enclosed check may be charged to Deposit Account #10-0100.

Inventors: Atushi SHIBATA, et al.  
Serial No.: 09/562,840  
Filing Date: 5/1/2000  
Group: 2754  
Examiner:  
Title: Pinch Roller Bearing Structure for Magnetic...  
Docket No.: PF-36

Assistant Commissioner for Patents  
Assignment Division  
Box Assignments  
Washington, D.C. 20231

Attention: Paula McCray, Examiner

**LETTER**

Sir:

This paper is in response to the Notice of Non-Recordation of Document mailed July 17, 2000 and received July 24, 2000 for the above-identified patent application.

Applicants have therefor resubmitted the recordal cover sheet listing

surname first followed by a comma, and first name of each conveying party and respectfully request that the assignment now be duly recorded in the Assignment Division of the U.S. Patent Office and await the recordal listing reel and frame.

Respectfully submitted,

**LACKENBACH SIEGEL**

Dated: 8-18-00  
Once Chase Road  
Scarsdale, New York 10583  
914-723-4300

BY: \_\_\_\_\_

HENRY A. MARZULLO, JR.  
Reg. No. 20,910

Enclosure  
ngb-pf-36\letter