

FORM PTO-1595 (Rev. 6-93)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Sandoz Pharmaceuticals Corporation (formerly Sandoz, Inc. by change of name recorded on October 16, 1985, on Reel 0507, Frame 0956)

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

3. Nature of conveyance: [ ] Assignment [ ] Merger [ ] Security Agreement [X] Change of Name [ ] Other

Execution Date: December 27, 1996

2. Name and address of receiving party(ies) Name: Novartis Pharmaceuticals Corp.

Internal Address:

Street Address: 59 Route 10

City: East Hanover, State: N.J. ZIP: 07936-1080

Additional name(s) & address(es) attached? [ ] Yes [X] No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s) 4,571,428 4,739,073 4,898,928 5,010,067 5,629,310 Des. 312,321 Des. 312,322

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Corporation Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

6. Total number of applications and patents involved: 7

7. Total fee (37 CFR 3.41) \$ 280

[ ] Enclosed

[X] Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number: 19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Melvyn M. Kassenoff Name of Person Signing Reg. No. 26,389

Melvyn M. Kassenoff Signature

October 12, 2000 Date

[ ] Certificate of mailing on reverse side Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet and this form to: Commissioner of Patents & Trademarks, Esp. Design Dept. Washington, D.C. 20231

PATENT

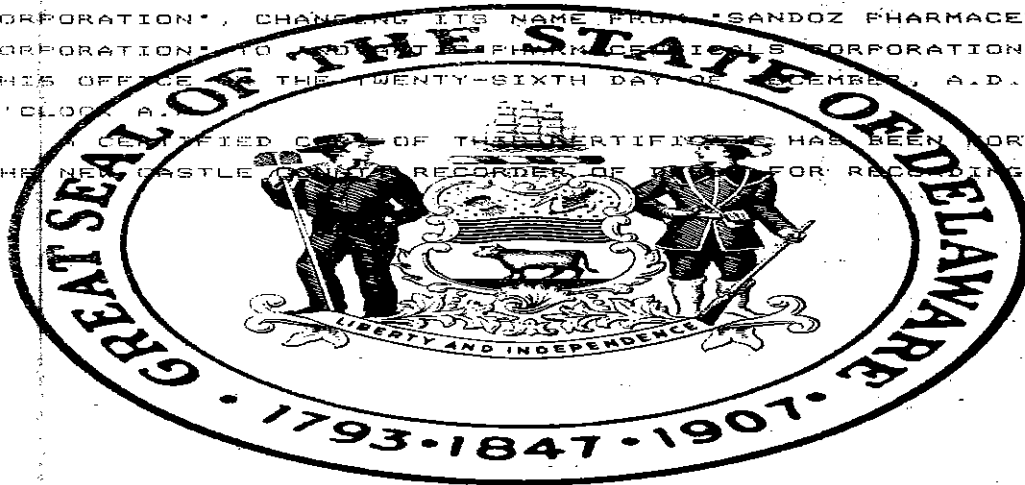
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REEL: 011044 FRAME: 0177

*State of Delaware*  
**Office of the Secretary of State**

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SANDOZ PHARMACEUTICALS CORPORATION", CHANGING ITS NAME FROM "SANDOZ PHARMACEUTICALS CORPORATION" TO "NOVARTIS PHARMACEUTICALS CORPORATION", FILED IN THIS OFFICE THE TWENTY-SIXTH DAY OF DECEMBER, A.D. 1996, AT 9 O'CLOCK, A.

A CERTIFIED COPY OF THE CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS FOR RECORDING.



*Edward J. Freel*

Edward J. Freel, Secretary of State

**PATENT**

AUTHENTICATION:

8262989

DATE

12-26-96

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**REEL: 011044 FRAME: 0178**

**CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF INCORPORATION**

\*\*\*\*\*

**SANDOZ PHARMACEUTICALS CORPORATION**, a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware.

**DOES HEREBY CERTIFY:**

**FIRST:** That the Board of Directors of said corporation, by the unanimous written consent of its members, filed with the minutes of the Board, adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation:

**RESOLVED**, that the Certificate of Incorporation of Sandoz Pharmaceuticals Corporation be amended by changing the Article numbered "First" thereof so that, as amended, said Article shall be and read as follows:

"The name of this Corporation is Novartis Pharmaceuticals Corporation."

**SECOND:** That in lieu of a meeting and vote of stockholders, the stockholder has given unanimous written consent to said amendment in accordance with the provisions of section 228 of the General Corporation Law of the State of Delaware.

**THIRD:** That the aforesaid amendment was duly adopted in accordance with the applicable provisions of sections 242 and 228 of the General Corporation Law of the State of Delaware.

**IN WITNESS WHEREOF**, said **SANDOZ PHARMACEUTICALS CORPORATION** has caused this certificate to be signed by Edgar J. Fullagar, its Chairman of the Board and attested by Herbert J. Brennan, its Secretary this 23 day of December, 1996.

**SANDOZ PHARMACEUTICALS CORPORATION**

By Edgar J. Fullagar  
Chairman of the Board

ATTEST: Herbert J. Brennan  
By \_\_\_\_\_  
Secretary

**PATENT**  
**REEL: 011044 FRAME: 0179**

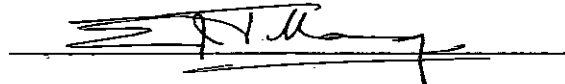
**SANDOZ PHARMACEUTICALS CORPORATION  
ACTION BY UNANIMOUS CONSENT  
OF DIRECTORS  
IN LIEU OF A MEETING**

The undersigned, being a Director of Sandoz Pharmaceuticals Corporation, a Delaware corporation, hereby declares it advisable and consents, pursuant to Section 141(f) of the Delaware General Corporation Law, to the adoption of the following resolution as the act of the Board of Directors of the Corporation:

**RESOLVED**, That the Certificate of Incorporation of **SANDOZ PHARMACEUTICALS CORPORATION** be amended by changing the Article thereof numbered "First" so that, as amended, said Article shall be and read as follows:

"The name of this Corporation is Novartis Pharmaceuticals Corporation."

Date: 12/11/96



**PATENT**  
**RECORDED: 10/12/2000** **REL: 011044** **FRAME: 0180**