

09-11-2000

09/622367



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U.S. PATENT AND TRADEMARK OFFICE

8-16-00

Case Docket No. <u>001033</u>	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
RECORDATION FORM COVER SHEET <b>PATENTS ONLY</b>	
To the Director of Patents and Trademarks. Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies):  <p style="text-align: center;"><b>Shinichi SEKIGUCHI, Hiroyuki SHINOZAKI, Toshimitsu BARADA, Toshiharu NAKAZAWA and Takeshi KAWAMURA</b></p>	
Additional name(s) of conveying party(ies) attached? <u>NO</u>	
2. Name and address of receiving party(ies):  <div style="display: flex; justify-content: space-between;"> <div> <p>(1) Name: <b>EBARA CORPORATION</b></p> <p>Street Address: <u>11-1, Haneda Asahi-cho, Ohta-ku, Tokyo 144-8510, Japan</u></p> <p>(2) Name: <b>KOMATSU LTD.</b></p> <p>Street Address: <u>3-6, Akasaka 2-chome, Minato-ku, Tokyo 107-84814, Japan</u></p> </div> <div style="text-align: right; font-size: 2em;"> <p>09/622367</p> </div> </div>	
Additional name(s) & address(es) attached? <u>NO</u>	
3. Nature of conveyance: <b>Assignment</b>  Execution Date: <b>August 8, 2000</b>	
4. Title: <b>CIRCULATION FAN APPARATUS AND CIRCULATION-FAN DRIVING MOTOR</b>	

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02 FC:581

40.00 DP

5. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 8, 2000

A. Patent Application No.(s)      B. Patent No.(s)

Additional numbers attached? NO

6. Name and address of party to whom correspondence concerning document should be mailed:

Name: Armstrong, Westerman, Hattori,  
McLeland & Naughton  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006

7. Total number of applications and patents involved: 1

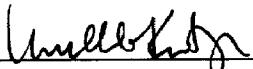
8. Total fee (37 CFR 3.41). . . . . \$ **40.00**

Check enclosed

9. Deposit Account No.: 01-2340

10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

  
William G. Kratz, Jr.  
Reg. No.: 22,631

Date: August 16, 2000

Total number of pages including cover sheet: 3

WGK/yap

Armstrong, Westerman, Hattori, McLeland &amp; Naughton

Docket No. \_\_\_\_\_

## U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by EBARA CORPORATION of 11-1, Haneda Asahi-cho, Ohta-ku, Tokyo 144-8510 Japan and KOMATSU LTD. of 3-6, Akasaka 2-chome, Minato-ku, Tokyo 107-8414 Japan (hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

(Insert ASSIGNEE's  
Name(s) Address(es))

(Title of Invention)

(\*If the assignment is  
being filed after the filing  
of the application, this  
section must be  
completed)

CIRCULATION FAN APPARATUS AND CIRCULATION-FAN DRIVING MOTOR

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

\*filed on \_\_\_\_\_, Serial No. \_\_\_\_\_  
(Armstrong, Westerman, Hattori, McLeland & Naughton is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

<u>Shinichi Sekiguchi</u> (SIGNATURE)	<u>Shinichi SEKIGUCHI</u> (TYPE NAME)	<u>August 8, 2000</u> (DATE)
<u>Hiroyuki Shinozaki</u> (SIGNATURE)	<u>Hiroyuki SHINOZAKI</u> (TYPE NAME)	<u>August 8, 2000</u> (DATE)
<sup>8/8 2000</sup> <sup>TB</sup> <u>Toshimitsu Barada</u> (SIGNATURE)	<u>Toshimitsu BARADA</u> (TYPE NAME)	<u>August 8, 2000</u> (DATE)
<u>Toshiharu Nakazawa</u> (SIGNATURE)	<u>Toshiharu NAKAZAWA</u> (TYPE NAME)	<u>August 8, 2000</u> (DATE)
<u>Takeshi Kawamura</u> (SIGNATURE)	<u>Takeshi KAWAMURA</u> (TYPE NAME)	<u>August 8, 2000</u> (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)

NO LEGALIZATION REQUIRED