

09-12-2000

D #

Atty Docket No.: 1859-0319-0/jmh



FORM PTO-1595  
1-31-92

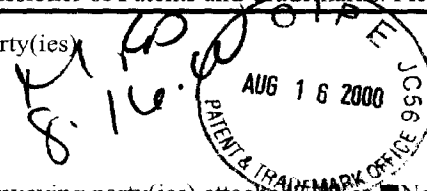
101453851

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Toshihide SHIMIZU  
Mikio WATANABE  
Genji NOGUKI



2. Name and address of receiving party(ies):

Name: Shin-Etsu Chemical Co., Ltd.  
Address: 6-1, Ohtemachi 2-chome, Chiyoda-ku,  
Tokyo, Japan

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of Conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: January 11, 2000

Additional name(s) and address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s)

09/512,297

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

OBLON, SPIVAK, McCLELLAND, MAIER &  
NEUSTADT, P.C.  
Attorneys at Law  
Fourth Floor  
1755 Jefferson Davis Highway  
Arlington, Virginia 22202

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 15-0030

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Norman F. Oblon

Name of Person Signing

Signature

August 16, 2000

Date

Registration Number: 24,618

Total number of pages including this cover sheet: 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

09/12/2000 NTHA11 00000007 09512297

01 FC:581

40.00 OP

PATENT  
REEL: 011047 FRAME: 0518

# Assignment Of Application

WHEREAS, I (WE) Toshihide SHIMIZU, Mikio WATANABE and Genji NOGUKI  
of c/o Enbi Gijutsu Kenkyusho, Shin-Etsu Chemical Co., Ltd., 1, Tohwada,  
Ohaza, Kamisu-machi, Kashima-gun, Ibaraki-ken, JAPAN;

INSERT NAMES AND RESIDENCE ADDRESSES OF THE INVENTORS:

c/o Enbi Gijutsu Kenkyusho, Shin-Etsu Chemical Co., Ltd., 1, Tohwada,  
Ohaza, Kamisu-machi, Kashima-gun, Ibaraki-ken, JAPAN and

c/o Enbi Gijutsu Kenkyusho, Shin-Etsu Chemical Co., Ltd., 1, Tohwada,  
Ohaza, Kamisu-machi, Kashima-gun, Ibaraki-ken, JAPAN

\_\_\_\_\_, respectively,

INSERT TITLE OF INVENTION:

have invented certain new and useful improvements in: Process for producing a polymer  
by polymerization of a monomer having an ethylenic double bond,

INSERT DATE INVENTORS SIGNED DECLARATION:

for which an application for Letters Patent was executed on January 11, 2000

(Application No. 09/512,297, filed February 24, 2000), and

INSERT NAME AND ADDRESS OF COMPANY OR OTHER ASSIGNEE:

WHEREAS, Shin-Etsu Chemical Co., Ltd.

(hereinafter referred to as "ASSIGNEE") having a place of business at: 6-1, Ohtemachi

2-chome, Chiyoda-ku, Tokyo, Japan,

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: January 11, 2000

*Toshihide Shimizu*  
(Signature of Inventor) Toshihide SHIMIZU

Date: January 11, 2000

*Mikio Watanabe*  
(Signature of Inventor) Mikio WATANABE

Date: January 11, 2000

*Genji Noguki*  
(Signature of Inventor) Genji NOGUKI

Date: \_\_\_\_\_

(Signature of Inventor)

Date: \_\_\_\_\_

(Signature of Inventor)

Date: \_\_\_\_\_

(Signature of Inventor)

Date: \_\_\_\_\_

(Signature of Inventor)

Date: \_\_\_\_\_

(Signature of Inventor)

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.  
ATTORNEYS AT LAW  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VIRGINIA 22202