

09-12-2000

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101453965

MRD 9.05.00

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name(s) of conveying party(ies):

- 1) YUQIU JIANG
- 2) DAVIN C. DILLON
- 3) JENNIFER L. MITCHAM
- 4) JIANGCHUN XU
- 5) SUSAN L. HARLOCKER
- 6)

Additional names of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Dates:

- | | |
|-------------------|--------------------|
| 1) AUGUST 1, 2000 | 4) AUGUST 25, 2000 |
| 2) AUGUST 1, 2000 | 5) AUGUST 10, 2000 |
| 3) AUGUST 9, 2000 | 6) _____ |

2. Name and address of receiving party:

Name: CORIXA CORPORATION

Internal Address: _____

Street Address: 1124 COLUMBIA STREETSUITE 200City: SEATTLEState: WAZip: 98104Additional names & addresses attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,
the execution date of the application is _____A. Patent Application No(s).
09/551,621

B. Patent No(s).

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Seed Intellectual Property Law Group PLLCInternal Address: JANE E. R. POTTERStreet Address: 701 Fifth Avenue, Suite 6300City: Seattle State: WA ZIP: 98104-70926. Total number of applications and patents involved.....17. Total Fee (37 CFR 3.41):\$40☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1090

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JANE E. R. POTTER

Name of Person Signing

Signature

9/1/00

Date

Total number of pages including cover sheet, attachments, and document: 5

ASSIGNMENT

WHEREAS, we, Yuqiu Jiang, Davin C. Dillon, Jennifer L. Mitcham, Jiangchun Xu, and Susan L. Harlocker (hereinafter referred to as ASSIGNORS), having post office addresses of 5001 South 232nd Street, Kent, WA 98032; 18112 NW Montreux Drive, Issaquah, WA 98027; 16677 NE 88th Street, Redmond, WA 98052; 15805 SE 43rd Place, Bellevue, WA 98006; and 7522 – 13th Avenue W., Seattle, WA 98117, respectively, are the joint inventors of an invention entitled “COMPOSITIONS FOR THE TREATMENT AND DIAGNOSIS OF BREAST CANCER AND METHODS FOR THEIR USE,” as described and claimed in the specification forming part of a continuation-in-part application for United States letters patent which was filed on April 17, 2000 and assigned Application No. 09/551,621; which application is a continuation-in-part of U.S. Application No. 09/433,826, filed November 3, 1999, which application is a continuation-in-part of U.S. Application No. 09/389,681, filed September 2, 1999, which application is a continuation-in-part of U.S. Application No. 09/339,338, filed June 23, 1999, which application is a continuation-in-part of U.S. Application No. 09/285,480, filed April 2, 1999, which application is a continuation-in-part of U.S. Application No. 09/222,575, filed December 28, 1998, which applications are pending.

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their

successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

8/1/00
Date _____ Yuqiu Jiang
State of Washington)
County of King) ss.

I certify that I know or have satisfactory evidence that Yuqiu Jiang is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated August 1, 2000
Signature of Notary Public Deirdre I. Bryan
Printed Name **DEIRDRE I. BRYAN**
My appointment expires 9/1/00

8/1/00
Date _____
State of Washington)
County of King) ss.
Davin C. Dillon

I certify that I know or have satisfactory evidence that Davin C. Dillon is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated August 1, 2000
Signature of Notary Public Deirdre I Bryan
Printed Name **DEIRDRE I. BRYAN**
My appointment expires 9/1/02

8/9/00
Date _____
State of Washington)
County of King) ss.
Jennifer L. Mitcham

I certify that I know or have satisfactory evidence that Jennifer L. Mitcham is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

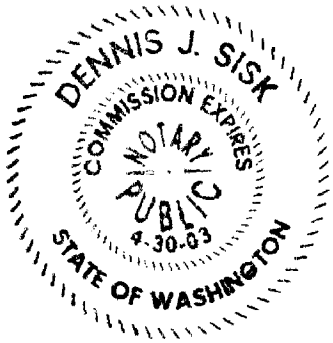
Dated August 9, 2000
Signature of Notary Public Deirdre I Bryan
Printed Name **DEIRDRE I. BRYAN**
My appointment expires 9/1/02

8/25/00

Jol Xu

Date _____
State of Washington)
County of King) ss.
Jiangchun Xu

I certify that I know or have satisfactory evidence that Jiangchun Xu is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.



Dated 08/25/00
Signature of Notary Public Dennis J Sisk
Printed Name Dennis J Sisk
My appointment expires 04-30-03

Date Aug 10, 2000)
State of Washington)
County of King) ss.
Susan L. Harlocker

I certify that I know or have satisfactory evidence that Susan L. Harlocker is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated August 10, 2000
Signature of Notary Public Deirdre I Bryan
Printed Name **DEIRDRE I BRYAN**
My appointment expires 9/1/02