

09-13-2000



MRD 8/21/00

101454817

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Clifford J. Steer
Betsy T. Kren
Guangsheng Fan
Cecilia M. P. Rodrigues

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: August 14, 2000

2. Name and address of receiving party(ies):

Name: Regents of the University of Minnesota

Street Address: Suite 600 Gateway
200 Oak Street SE

City: Minneapolis State: MN ZIP: 55455

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

B. Patent No(s).

09/509,309

Title: METHODS OF LIMITING APOPTOSIS OF CELLS

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ann M. Mueting
Address: MUETING, RAASCH & GEBHARDT, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to Deposit account number: 13-4895

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is an original or a true copy of the original document.

Ann M. Mueting / Reg. No. 33,977
Name of Person Signing

Ann M. Mueting
Signature

August 15, 2000
Date

Total number of pages including cover sheet, attachments, and document(s): 3

09/12/2000 BNGUYEN 00000110 09509309
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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

ASSIGNMENT

Whereas We, Clifford J. Steer, Betsy T. Kren, Guangsheng Fan, and Cecilia M. P. Rodrigues, with residences and citizenships as indicated below; have made an invention in

METHODS OF LIMITING APOPTOSIS OF CELLS

Filed: March 23, 2000

Serial No.: 09/509,309

and executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto **Regents of the University of Minnesota** ("Company"), a corporation of the state of Minnesota, having its principal office at Suite 600 Gateway, 200 Oak Street SE, Minneapolis, Minnesota 55455, United States of America, its successors and assigns, the entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any continued prosecution application, divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of said applications, to Regents of the University of Minnesota as the assignee of the entire right, title, and interest therein.

In witness whereof, we have hereunto signed our names on the days and years set forth below.

Clifford J. Steer

08/14/00

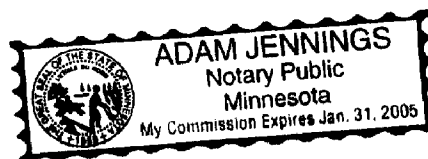
Name: Clifford J. Steer
Address: 1924 Pinehurst Avenue, St. Paul, Minnesota 55116, USA
Citizenship: United States of America

Date

STATE OF MINNESOTA)
) ss.
COUNTY OF HENNEPIN)

On this 14 day of August 2000, before me personally appeared Clifford J. Steer to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Adam Jennings
Notary Public



Serial No.: 09/509,309

Filed: March 23, 2000

For: METHODS OF LIMITING APOPTOSIS OF CELLS

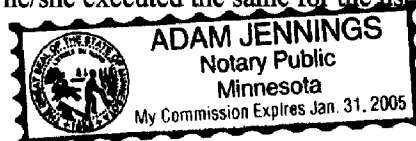
Betsy T. Kren
Name: Betsy T. Kren
Address: 810 - 29th Avenue South, Minneapolis, Minnesota 55454, USA
Citizenship: United States of America

08/14/00
Date

STATE OF MINNESOTA)
) ss.
COUNTY OF HENNEPIN)

On this 14 day of August 2000, before me personally appeared Betsy T. Kren to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Adam Jennings
Notary Public



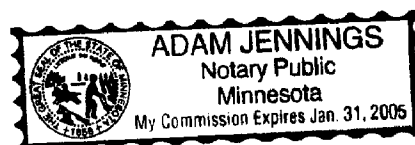
Guangsheng Fan
Name: Guangsheng Fan
Address: 3000 Macalester Drive, Apartment 11, St. Anthony, Minnesota 55421, USA
Citizenship: Peoples Republic of China

8/14/00
55439 G.F. Date

STATE OF MINNESOTA)
) ss.
COUNTY OF HENNEPIN)

On this 14 day of August 2000, before me personally appeared Guangsheng Fan to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Adam Jennings
Notary Public



Cecilia M. P. Rodrigues
Name: Cecilia M. P. Rodrigues
Address: Rua da Gruz Vermelha, 6-5D, P-1600 Lisbon, Portugal
Citizenship: Portugal

08/14/2000
Date

STATE OF MINNESOTA)
) ss.
COUNTY OF HENNEPIN)

On this 14 day of AUGUST 2000, before me personally appeared Cecilia M. P. Rodrigues to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Adam Jennings
Notary Public

