

09-13-2000



PATENT

101455582

RECORDATION FORM COVER SHEET
PATENTS ONLY

8-11-00

TO: The Commissioner of Patents and Trademarks : Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non- Recordation)
- Document ID # _____
- Correction of PTO Error
- Reel # _____ Frame # _____
- Corrective Document
- Reel # 010762 Frame # 0173

Conveyance Type

- Assignment
- License
- Merger
- Security Agreement
- Change of Name
- Other _____

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- Departmental File
- Secret File

09557988

Attorney Docket No: 003932.P015

Conveying Party (ies)

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (1st party) Cohen, Michael H.

08012000

Name (2nd party) Balogh, Jennifer E.

07212000

Name (3rd party) Wax, Tracy D.

07212000

Name (4th party) Thirumalai, Madhavan S.

07312000

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Nuance Communications

Name (line 2) _____

Address (line 1) 1380 Willow Road

Address (line 2) _____

Address (line 3) Menlo Park

California

94025

City

State/Country

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

(Complete only if receiving party is not domiciled in the United States)

Enter for the first Receiving Party only.

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

Address (line 4) _____

09/12/2000 DNGUYEN 00000300 09557988

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01 FC:581

40.00 00

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

CONTINUATION OF RECORDATION FORM COVER SHEET

PATENT

Conveying Party (ies)

Enter additional Conveying Parties

Mark if additional names of conveying parties attached

Execution Date
Month Day Year
07 27 2000

Name Ghosh, Debajit

Name _____

Name _____

Name _____

Name _____

Name _____

Receiving Party (ies)

Enter additional Receiving Party(ies)

Mark if additional names of receiving parties attached

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Name (line 1) _____

Name (line 2) _____

Address (line 1) _____

Address (line 2) _____

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City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09557988

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number (408) 720-8300x330

Name Jordan M. Becker

Address (line 1) Blakely, Sokoloff, Taylor & Zafman LLP

Address (line 2) 12400 Wilshire Boulevard

Address (line 3) Seventh Floor

Address (line 4) Los Angeles, California 90025-1026

Pages

Enter the total number of pages of the attached conveyance document.

2

Application Number (s) or Patent Number (s)

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Patent Application Number (s)

Patent Number (s)

09557988

Month Day Year

If this document is being filed together with a **new** Patent Application, enter the date the patent application was signed by the first named executing inventor.

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT _____ PCT _____ PCT _____
PCT _____ PCT _____ PCT _____

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Deposit Account

Enclosed

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

02-2666

Authorization to charge additional fees:

Yes

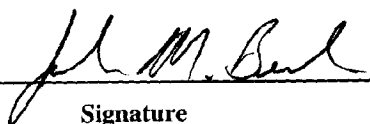
No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jordan M. Becker

39,602



8/8/00

Name of Person Signing

Registration No.

Signature

Date

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

No.: 003932.P015 (For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We

the undersigned, Michael H. Cohen, Jennifer E. Balogh, Tracy D. Wax,

Madhavan S. Thirumalai and Debajit Ghosh

hereby sell, assign, and transfer to Nuance Communications

a corporation of Delaware, having a principal place of business at

1380 Willow Road, Menlo Park, California 94025, ("Assignee"),

and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that was filed April 25, 20 00 and assigned Application No. 09/557,988 and is entitled

Method and Apparatus for Providing Active Help to a User of a Voice-Enabled Application,

and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 8/1/00, 2000
Date: 7/21, 2000
Date: 7-21-, 2000
Date: 7 (31) 00, 20
Date: JULY 27, 2000
Date: _____, 20

Michael H. Cohen
Name: Michael H. Cohen
Jennifer E. Balogh
Name: Jennifer E. Balogh
Tracy D. Wax
Name: Tracy D. Wax
Madhavan Thirumalai
Name: Madhavan S. Thirumalai
Debjit Ghosh
Name: Debajit Ghosh
Name: _____

State of: _____ }
County of: _____ }

SS. Assignment Document Return Address:
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025-1026
(408) 720-8300

On this _____ day of _____, 20 __, before me, _____,
the undersigned Notary Public, personally appeared _____,

personally known to me proved to me on the basis of satisfactory evidence to
be the person(s) whose name(s) subscribed to the within instrument, and
acknowledged that executed it.
WITNESS my hand and official seal.

Notary's Signature

05-09-2000
101350458
RECORDATION FORM COVER SHEET
PATENTS ONLY

PATENT

525 U.S. PTO
09/55/988
04/25/00

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4.25.00

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- Secret File

Attorney Docket No: 003932.P015

Conveying Party (ies)

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (1st party) Cohen, Michael H.	04212000
Name (2nd party) Balogh, Jennifer E.	04212000
Name (3rd party) Wax, Tracy D.	04212000
Name (4th party) Thirumalai, Madhavan S.	04212000

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Name (line 1) Nuance Communications

Name (line 2) A Corporation of California

Address (line 1) 1380 Willow Road

Address (line 2) _____

Address (line 3) Menlo Park California 94025

City State/Country Zip Code

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05/09/2000 BCOATES 00000194 09557988

01 FC:581 40.00 OP

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Pages Enter the total number of pages of the attached conveyance document. # 2

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Patent Application Number (s)

Patent Number (s)

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_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

Month Day Year

04212000

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Patent Cooperation Treaty (PCT)

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PCT _____	PCT _____	PCT _____
PCT _____	PCT _____	PCT _____

Number of Properties

Enter the total number of properties involved. # 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-2666

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jordan M. Becker

39,602

JM Becker

4/25/00

Name of Person Signing

Registration No.

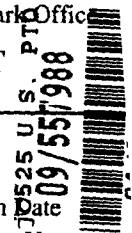
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**CONTINUATION OF
RECORDATION FORM COVER SHEET**

PATENT



Conveying Party (ies)

Enter additional Conveying Parties

Mark if additional names of conveying parties attached

Execution Date
Month Day Year
04212000

Name Ghosh, Debajit

Name _____

Name _____

Name _____

Name _____

Name _____

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Enter additional Receiving Party(ies)

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Attorney's Docket

ASSIGNMENT

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Madhavan S. Thirumalai, and Debajit Ghosh

hereby sell, assign, and transfer to Nuance Communications

a corporation of California, having a principal place of business at
1380 Willow Road, Menlo Park, California 94025, ("Assignee"),
and its successors, assigns, and legal representatives, the entire right, title, and interest for the
United States and all foreign countries, in and to any and all improvements that are disclosed in
the application for the United States patent that has been executed by the undersigned prior
hereto or concurrently herewith on the dates indicated below and is entitled

Method and Apparatus for Providing Active Help to a User of a Voice-Enabled Application
and in and to said application and all divisional applications, continuation applications,
continued prosecution applications, continuation-in-part applications, substitute applications,
renewal applications, reissue applications, reexaminations, extensions, and all other patent
applications that have been or shall be filed in the United States and all foreign countries on
any of said improvements; and in and to all original patents, reissued patents, reexamination
certificates, and extensions, that have been or shall be issued in the United States and all
foreign countries on said improvements; and in and to all rights of priority resulting from the
filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said
improvements in its own name; and that, when requested, without charge to, but at the
expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in
good faith the intent and purpose of this Assignment, the undersigned will execute all divisional
applications, continuation applications, continued prosecution applications, continuation-in-part
applications, substitute applications, renewal applications, reissue applications, reexaminations,
extensions and all other patent applications on any and all said improvements; execute all
rightful oaths, assignments, powers of attorney, and other papers; communicate to said
Assignee, its successors, assigns, and representatives all facts known to the undersigned
relating to said improvements and the history thereof; and generally assist said Assignee, its
successors, assigns, or representatives in securing and maintaining proper patent protection
for said improvements and for vesting title to said improvements, and all applications for
patents and all patents on said improvements, in said Assignee, its successors, assigns, and
legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no
assignment, grant, mortgage, license, or other agreement affecting the rights and property
herein conveyed has been made to others by the undersigned, and that full right to convey the
same as herein expressed is possessed by the undersigned.

