

09-13-2000

FORM PTO-1619A

Expires 06/30/99
OMB 0651-0027U.S. Department of Commerce
Patent and Trademark Office
PATENT

101455850

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

8.11.00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).**Submission Type**

New



Resubmission (Non-Recordation)

Document ID#



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

Conveyance Type

Assignment



Security Agreement



License



Change of Name



Merger



Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)



Departmental File



Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1)

REMOTE SOURCE LIGHTING INTERNATIONAL, INC.

03/15/99

Name (line 2)

Execution Date
Month Day Year**Second Party**

Name (line 1)

Name (line 2)

08847625

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)

RSLI Acquisition, Inc.

Name (line 2)

Address (line 1)

4101 Lake Boone Trail

Address (line 2)

Address (line 3)

Raleigh

NC/USA

27607

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Isaac B. Horton, III

Address (line 1)

8824 Stage Ford Road

Address (line 2)

Raleigh, NC 27615

Address (line 3)

Address (line 4)

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09/12/2000 NTHAI1 00000032 08847625

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1720.00 (P)

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231**PATENT**
REEL: 011052 FRAME: 0200

Correspondent Name and Address

Area Code and Telephone Number 919-664-8222

Name JiNan Glasgow

Address (line 1) PO Box 28539

Address (line 2) 116 N. West St., Suite 270

Address (line 3) Raleigh, NC 27611-8539

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

5

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

08/847,625

09/240,281

60/081,154

09/239,559

09/240,221

09/240,178

08/599,970

Patent Number(s)

6,086,234

5,911,020

5,857,041

6,027,237

5,892,867

5,832,151

5,917,986

5,862,277

5,790,725

If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number
has not been assigned.

PCT See attached

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

43

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 1720

Method of Payment:

Deposit Account

Enclosed ☒Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

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Authorization to charge additional fees:

Yes ☐No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

William C. Betke, Jr.

Name of Person Signing

William C. Betke, Jr.

Signature

August 11, 2000

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1)	<input type="text"/>	Execution Date Month Day Year	<input type="text"/>
Name (line 2)	<input type="text"/>		
Name (line 1)	<input type="text"/>	Execution Date Month Day Year	<input type="text"/>
Name (line 2)	<input type="text"/>		
Name (line 1)	<input type="text"/>	Execution Date Month Day Year	<input type="text"/>
Name (line 2)	<input type="text"/>		

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)	<input type="text"/>	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)		
Name (line 2)	<input type="text"/>			
Address (line 1)	<input type="text"/>			
Address (line 2)	<input type="text"/>			
Address (line 3)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
	City	State/Country	Zip Code	
Name (line 1)	<input type="text"/>			
Name (line 2)	<input type="text"/>			
Address (line 1)	<input type="text"/>			
Address (line 2)	<input type="text"/>			
Address (line 3)	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	City	State/Country	Zip Code	

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
<input type="text"/>	<input type="text"/>	<input type="text"/>	5,790,723	5,661,828	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	5,751,870	5,559,911	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	5,708,737	D417,920	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	5,706,376	6,070,985	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	5,682,448	<input type="text"/>	<input type="text"/>

SCHEDULE A
PATENTS

<u>US Patent #</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
6,086,234	7/11/00	Riser, et al.
6,070,985	6/6/00	Riser, et al.
6,027,237	2/22/2000	Riser, et al.
5,917,986	6/29/1999	Vanderreydt, et al.
5,911,020	6/8/1999	Riser, et al.
5,892,867	4/6/1999	Riser, et al.
5,862,277	1/19/1999	Riser, et al.
5,857,041	1/5/1999	Riser, et al.
5,832,151	11/3/1998	Riser, et al.
5,790,725	8/4/1998	Rykowski, et al.
5,790,723	8/4/1998	Riser, et al.
5,751,870	5/12/1998	Forkner, et al.
5,708,737	1/13/1998	Riser
5,706,376	1/6/1998	Rykowski, et al.
5,682,448	10/28/1997	Riser, et al.
5,661,828	8/26/1997	Riser, et al.
5,559,911	9/24/1996	Forkner, et al.
D417,920 (design)	12/21/1999	Riser, et al.

<u>PCT #</u>	<u>Date Filed</u>	<u>Inventor</u>
PCT/US96/08,123	5/31/96	Rykowski, et al.
PCT/US96/00,302	1/16/96	Riser, et al.
PCT/US97/07,868	5/9/97	Riser, et al.
PCT/US97/07,869	5/9/97	Riser, et al.
PCT/US97/07,867	5/9/97	Riser, et al.
PCT/US97/19,650	10/27/97	Rykowski, et al.
PCT/US97/09,737		
PCT/US97/01,847	2/25/97	Riser, et al.
PCT/US98/01,220	1/21/98	Riser, et al.
PCT/US98/01,610	1/28/98	Riser, et al.
PCT/US98/08,608	5/28/98	Riser, et al.
PCT/US98/03,318	2/18/98	Wilson, et al.
PCT/US98/03,172	11/18/98	Riser, et al.
PCT/US98/03,527	2/24/98	Riser, et al.
PCT/US98/03,316		
PCT/US98/05,245		
PCT/US99/02,002	1/29/99	Riser, et al.
PCT/US99/01,962	1/29/99	

SCHEDULE A (Con't)

<u>PATENTS PENDING</u>	<u>Date Filed</u>	<u>Inventor</u>
08/847,625	4/28/97	Riser, et al.
09/239,559	1/29/99	Riser, et al.
09/240,178	1/29/99	Lucas, et al.
09/240,281	1/29/99	Riser, et al.
09/240,221	1/29/99	Albrecht
08/599,970	1/17/95	Riser, et al.
60/081,154		Horton, et al.

Additionally, the Security Agreement includes all patent applications, patents, PCTs, reissues, divisions, continuations, renewals, extensions, and continuations in part relating to any and all of the above-referenced patents.

PATENT ASSIGNMENT OF SECURITY

WHEREAS, REMOTE SOURCE LIGHTING INTERNATIONAL, INC., a corporation formed under the laws of Delaware, located at 32961 Calle Perfecto, San Juan Capistrano, California 92675 ("Borrower"), owns the patents and patent applications shown in the attached Schedule A (the "Patents"), for which there are recordings or applications in the United States Patent and Trademark Office under the numbers shown in the attached Schedule A; and

WHEREAS, Borrower is obligated to RSLI Acquisition, Inc., ("Buyer") pursuant to the Loan and Security Agreement between Borrower and Lender dated March 19, 1999 (the "Agreement"); and

WHEREAS, pursuant to the Agreement, Borrower is granting to Lender a security interest in the Patents, all proceeds thereof, all rights corresponding thereto and all reissues, divisions, continuations, renewals, extensions and continuations-in-part thereof, and the recordings and applications therefore.

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Borrower does hereby assign unto Lender and grant to Lender a security interest in and to the Patents, and recordings and applications therefor, which assignment and security interest shall secure the Loan as defined in the Agreement and in accordance with the terms and provisions thereof.

Borrower expressly acknowledges and affirms that the rights and remedies of Lender with respect to the assignment and security interest granted hereby are more fully set forth in the Agreement.

Dated: March 15, 1999

Witness:

James W. Hyatt 3/15/99

REMOTE SOURCE LIGHTING
INTERNATIONAL, INC.

By: Anthony Perbon 3/15/99

Title: PRESIDENT

45950.2

ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of ORANGE } SS.On 3/15/99 before me, Maria Russomanno,
(DATE) (NOTARY)
personally appeared CURTIS BENBOW
SIGNER(S)

☒ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Maria Russomanno
NOTARY'S SIGNATURE**OPTIONAL INFORMATION**

The information below is not required by law. However, it could prevent fraudulent attachment of this acknowledgment to an unauthorized document.

CAPACITY CLAIMED BY SIGNER (PRINCIPAL)

- ☐ INDIVIDUAL
☐ CORPORATE OFFICER

TITLE(S) _____

- ☐ PARTNER(S)
☐ ATTORNEY-IN-FACT
☐ TRUSTEE(S)
☐ GUARDIAN/CONSERVATOR
☐ OTHER: _____

SIGNER IS REPRESENTING:
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