

PATENTS ONLY

TO THE DIRECTOR OF T

09-14-2000



PATENTS ONLY

IT AND TRADEMARK OFFICE

8.25.00

Please re

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or copy thereof.

1. Name of Party(ies) conveying an interest:

David G. Barkalow  
Lindell C. Richey  
Julius W. Zuehlke

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and Address of Party(ies) receiving an interest:

Name: Wm. Wrigley Jr. Company  
Internal Address:  
Street Address: 410 North Michigan Avenue  
City: Chicago  
State/Zip: Illinois 60611

Additional name(s) and addresses attached?

☐ Yes ☒ No

3. Description of the interest conveyed:

☒ Assignment☐ Change of Name

Other:

☐ Security Agreement☐ MergerExecution Date: David G. Barkalow - March 9, 2000;  
Lindell C. Richey - March 9, 2000; Julius W. Zuehlke - March  
20, 2000

09648218

4. Application number(s) or patent number(s). Additional sheet attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

David G. Barkalow - March 9, 2000

Lindell C. Richey - March 9, 2000

Julius W. Zuehlke - March 20, 2000

Date

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account No. 23-19258. ☒ Please charge any deficiencies in fee or credit any overpayment to Deposit Account No. 23-1925.

DO NOT USE THIS SPACE

09/14/2000 MTHA11 00000158 09648218

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven P. Shurtz  
Name of Person Signing

Signature

Date

8/25/00

Total number of pages including cover sheet, attachments, and document: 5

Rev. Dec.-99

PATENT  
REEL: 011054 FRAME: 0214

**ASSIGNMENT**

WHEREAS, David G. Barkalow, Lindell C. Richey and Julius W. Zuehlke, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled COATING PROCESS APPLYING A SUSPENSION SYRUP WITH A COMBINATION OF HYDROGENATED ISOMALTULOSE MATERIALS, for a full description of which reference is here made to an application for Letters Patent of the United States executed by the Assignors on the same date of this Assignment, and to Provisional U.S. Patent Application filed on August 30, 1999, and assigned Application Serial No. 60/151,500;

WHEREAS, Wm. Wrigley Jr. Company, a corporation organized and existing under the laws of the State of Delaware, having a place of business at 410 North Michigan Avenue, Chicago, Illinois 60611, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent applications identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent applications identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in

vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED: 3/9/00

David G. Barkalow  
David G. Barkalow

DATED: March 9, 2000

Lindell C. Richey  
Lindell C. Richey

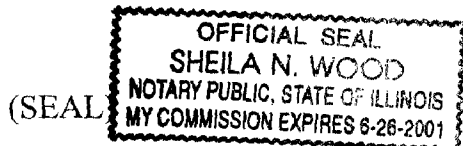
DATED: March 20, 2000

Julius W. Zuehlke  
Julius W. Zuehlke

STATE OF Illinois )  
COUNTY OF Cook ) ss.

I, SHEILA N. WOOD, A Notary Public in and for the County and State aforesaid, do hereby certify that David G. Barkalow, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 9th day of March, 2000.



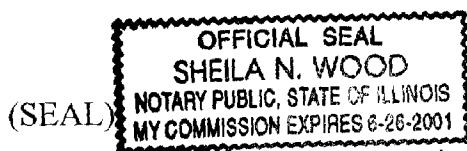
Sheila N. Wood  
Notary Public

My Commission Expires: 6-26-2001

STATE OF Illinois )  
COUNTY OF Cook ) ss.

I, SHEILA N. WOOD, A Notary Public in and for the County and State aforesaid, do hereby certify that Lindell C. Richey, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 9th day of March, 2000.



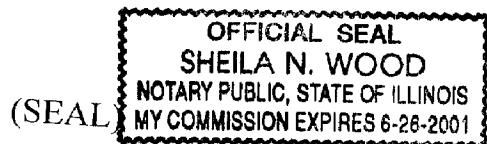
Sheila N. Wood  
Notary Public

My Commission Expires: 6-26-2001

STATE OF Illinois )  
COUNTY OF Cook ) ss.

I, SHEILA N. WOOD, A Notary Public in and for the County and State aforesaid, do hereby certify that Julius W. Zuehlke, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 20<sup>th</sup> day of March, 2000.



Sheila N. Wood  
Notary Public

My Commission Expires: 6-26-2001

ASSIGNMENT

WHEREAS, David G. Barkalow, Lindell C. Richey and Julius W. Zuehlke, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled COATING PROCESS APPLYING A SUSPENSION SYRUP WITH A COMBINATION OF HYDROGENATED ISOMALTULOSE MATERIALS, for a full description of which reference is here made to an application for Letters Patent of the United States executed by the Assignors on the same date of this Assignment, and to Provisional U.S. Patent Application filed on August 30, 1999, and assigned Application Serial No. 60/151,500;

WHEREAS, Wm. Wrigley Jr. Company, a corporation organized and existing under the laws of the State of Delaware, having a place of business at 410 North Michigan Avenue, Chicago, Illinois 60611, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent applications identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent applications identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in

vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

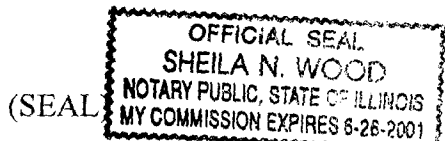
IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED:	<u>3/9/00</u>	<u>David G. Barkalow</u> David G. Barkalow
DATED:	<u>March 9, 2000</u>	<u>Lindell C. Richey</u> Lindell C. Richey
DATED:	<u>March 20, 2000</u>	<u>Julius W. Zuehlke</u> Julius W. Zuehlke

STATE OF Illinois )  
COUNTY OF Cook ) ss.

I, SHEILA N. WOOD, A Notary Public in and for the County and State aforesaid, do hereby certify that David G. Barkalow, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 9<sup>th</sup> day of March, 2000.



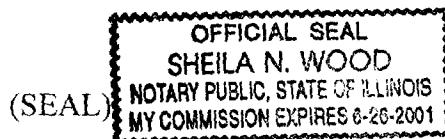
Sheila N. Wood  
Notary Public

My Commission Expires: 6-26-2001

STATE OF Illinois )  
COUNTY OF Cook ) ss.

I, SHEILA N. WOOD, A Notary Public in and for the County and State aforesaid, do hereby certify that Lindell C. Richey, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 9<sup>th</sup> day of March, 2000.



Sheila N. Wood  
Notary Public

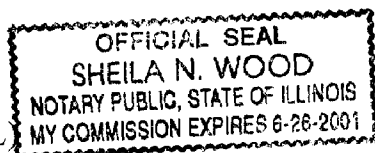
My Commission Expires: 6-26-2001



STATE OF Illinois )  
COUNTY OF Cook ) ss.

I, SHEILA N. WOOD, A Notary Public in and for the County and State aforesaid, do hereby certify that Julius W. Zuehlke, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 20<sup>th</sup> day of March, 2000.



Sheila N. Wood  
Notary Public

My Commission Expires: 6-26-2001